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Lasserre Hierarchy for Graph Isomorphism and Homomorphism Indistinguishability

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ABSTRACT. We show that feasibility of the t^{th} level of the Lasserre semidefinite programming hierarchy for graph isomorphism can be expressed as a homomorphism indistinguishability relation. In other words, we define a class \mathcal{L}_t of graphs such that graphs G and H are not distinguished by the t^{th} level of the Lasserre hierarchy if and only if they admit the same number of homomorphisms from any graph in \mathcal{L}_t . By analysing the treewidth of graphs in \mathcal{L}_t , we prove that the $3t^{\text{th}}$ level of Sherali–Adams linear programming hierarchy is as strong as the t^{th} level of Lasserre. Moreover, we show that this is best possible in the sense that 3t cannot be lowered to 3t - 1 for any t. The same result holds for the Lasserre hierarchy with non-negativity constraints, which we similarly characterise in terms of homomorphism indistinguishability over a family \mathcal{L}_t^+ of graphs. Additionally, we give characterisations of level-t Lasserre with non-negativity constraints in terms of logical equivalence and via a graph colouring algorithm akin to the Weisfeiler–Leman algorithm. This provides a polynomial time algorithm for determining if two given graphs are distinguished by the t^{th} level of the Lasserre hierarchy with non-negativity constraints.

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1. Introduction

The aim of this paper is to relate two rich sets of tools used to distinguish non-isomorphic graphs: the Lasserre semidefinite programming hierarchy and homomorphism indistinguishability.

Distinguishing non-isomorphic graphs is a ubiquitous problem in the theoretical and practical study of graphs. The ability of certain graph invariants to distinguish graphs has long been a rich area of study, leading to fundamental questions such as the long-standing open problem of whether almost all graphs are determined by their spectrum [39]. In practice, deploying e.g. machine learning architectures powerful enough to distinguish graphs with different features is of great importance [16]. This motivates an in-depth study of the power of various graph invariants and tools used to distinguish graphs.

Among such techniques is the Lasserre semidefinite programming hierarchy [21] which can be used to relax the integer quadratic program for graph isomorphism ISO(G, H), cf. Section 2.4. This yields a sequence of semidefinite programs, i.e. the level-*t* Lasserre relaxation of ISO(G, H)for $t \ge 1$, which are infeasible for more and more non-isomorphic graphs as *t* grows. In [10, 30, 5], it was shown that in general only the level- $\Omega(n)$ Lasserre system of equations can distinguish all non-isomorphic *n*-vertex graphs. In [2], the Lasserre hierarchy was compared with the Sherali– Adams linear programming hierarchy [37], which is closely related to the Weisfeiler–Leman algorithm [40, 4, 17, 24], the arguably most relevant combinatorial method for distinguishing graphs. In general and not only for graph isomorphism, feasibility of the level-*t* Lasserre relaxation of an integer program implies feasibility of its level-*t* Sherali–Adams relaxation [22]. For graph isomorphism, it was shown in [2] that the converse holds up to multiplicative offset in the number of levels. Thus, perhaps surprisingly, the Lasserre hierarchy is not more powerful than the Sherali–Adams hierarchies when applied to ISO(G, H). More precisely, by [2, Corollary 6.7], there exists a constant *c* such that if the level-*ct* Sherali–Adams relaxation of ISO(G, H) is feasible for two graphs *G* and *H* then so is the level-*t* Lasserre relaxation.

Another set of expressive equivalence relations comparing graphs is given by homomorphism indistinguishability, a notion originating from the study of graph substructure counts. Two graphs G and H are homomorphism indistinguishable over a family of graphs \mathcal{F} , in symbols $G \equiv_{\mathcal{F}} H$, if the number of homomorphisms from F to G is equal to the number of homomorphisms from F to H for every graph $F \in \mathcal{F}$. The study of this notion began in 1967, when Lovász [23] showed that two graphs G and H are isomorphic if and only if they are homomorphism indistinguishable over all graphs. In recent years, many prominent equivalence relations comparing graphs were characterised as homomorphism indistinguishability relations over restricted graph classes [13, 14, 15, 11, 25, 18, 3, 28, 1, 32, 31]. For example, a folklore result asserts that two graphs have cospectral adjacency matrices iff they are homomorphism indistinguishable over all cycle graphs, cf. [18]. Two graphs are quantum isomorphic iff they are homomorphism indistinguishable over all planar graphs [25]. Furthermore, feasibility of

the level-*t* Sherali–Adams relaxation of ISO(G, H) has been characterised as homomorphism indistinguishability over all graphs of treewidth at most t - 1 [4, 17, 24]. In this way, notions from logic [14, 15, 31], category theory [11, 28, 1], algebraic graph theory [13, 18], and quantum groups [25] have been related to homomorphism indistinguishability.

1.1 Contributions

Although feasibility of the level-*t* Lasserre relaxation of ISO(G, H) was sandwiched between feasibility of the level-*ct* and level-*t* Sherali–Adams relaxation in [2], the constant *c* remained unknown. In fact, this *c* is not explicit and depends on the implementation details of an algorithm developed in that paper. Our main result asserts that *c* can be taken to be three and that this constant is best possible.¹

THEOREM 1.1. For two graphs G and H and every $t \ge 1$, the following implications hold:

 $G\simeq^{\mathrm{SA}}_{3t} H \implies G\simeq^{\mathrm{L}}_{t} H \implies G\simeq^{\mathrm{SA}}_{t} H$

Furthermore, for every $t \ge 1$ *, there exist graphs* G *and* H *such that* $G \simeq_{3t-1}^{SA} H$ *and* $G \ne_t^L H$ *.*

Here, $G \simeq_t^{\text{L}} H$ and $G \simeq_t^{\text{SA}} H$ denote that the level-*t* Lasserre relaxation and respectively the level-*t* Sherali–Adams relaxation of ISO(*G*, *H*) are feasible.

Theorem 1.1 is proven using the framework of homomorphism indistinguishability. In previous works [13, 27, 18, 31], the feasibility of various systems of equations associated to graphs like the Sherali–Adams relaxation of ISO(G, H) was characterised in terms of homomorphism indistinguishability over certain graph classes. We continue this line of research by characterising the feasibility of the level-*t* Lasserre relaxation of ISO(G, H) by homomorphism indistinguishability of *G* and *H* over the novel class of graphs \mathcal{L}_t introduced in Definition 4.1.

THEOREM 1.2. For every integer $t \ge 1$, there is a minor-closed graph class \mathcal{L}_t of graphs of treewidth at most 3t - 1 such that for all graphs G and H it holds that $G \simeq_t^L H$ if and only if $G \equiv_{\mathcal{L}_t} H$.

The bound on the treewidth of graphs in \mathcal{L}_t in Theorem 1.2 yields the upper bound in Theorem 1.1 given the result of [4, 17, 2, 14] that two graphs *G* and *H* satisfy $G \simeq_t^{SA} H$ if and only if they are homomorphism indistinguishable over the class \mathcal{TW}_{t-1} of graphs of treewidth at most t-1. To our knowledge, Theorem 1.1 is the first result which tightly relates equivalence relations

¹ The constant *c* in [2, Theorem 6.3] depends on the implementation details of the algorithm that yields their Corollary 5.1. This algorithm is also dependent on the precise version of the Lasserre system of equations used there. As discussed in Section 2.4 and Appendix A, our Lasserre system of equations is defined slightly differently. In Theorem 1.1, we abstract from these details by proving a statement that involves only the equivalence relations \approx_t^{SA} and \approx_t^{L} . Since our Lasserre formulation and the one in [2] are equivalent (Lemma A.1), Theorem 1.1 yields that *c* in [2, Theorem 6.3] can be taken to be three (and that this is best possible). Our results do not imply bounds on the complexity of the algorithm yielding [2, Corollary 5.1].



Figure 1. Relationship between \mathcal{L}_t , \mathcal{L}_t^+ , the classes of graphs of bounded treewidth, bounded pathwidth, and the class of outerplanar graphs. An arrow $\mathcal{A} \to \mathcal{B}$ indicates that $\mathcal{A} \subseteq \mathcal{B}$ and thus that $G \equiv_{\mathcal{B}} H$ implies $G \equiv_{\mathcal{A}} H$ for all graphs *G* and *H*. For formal statements, see Sections 4.1 and 4.4.

on graphs by comparing the graph classes which characterise them in terms of homomorphism indistinguishability.

Our techniques extend to a stronger version of the Lasserre hierarchy which imposes non-negativity constraints on all variables. Denoting feasibility of the level-*t* Lasserre relaxation of ISO(*G*, *H*) with non-negativity constraints by $G \simeq_t^{L^+} H$, we characterise $\simeq_t^{L^+}$ in terms of homomorphism indistinguishability over the graph class \mathcal{L}_t^+ , defined in Definition 4.1 as a super class of \mathcal{L}_t . This is in line with previous work in [13, 18], where the feasibility of the level-*t* Sherali–Adams relaxation of ISO(*G*, *H*) without non-negativity constraints was characterised as homomorphism indistinguishable over the class \mathcal{PW}_{t-1} of graphs of pathwidth at most t - 1.

THEOREM 1.3. For every integer $t \ge 1$, there is a minor-closed graph class \mathcal{L}_t^+ of graphs of treewidth at most 3t - 1 such that for all graphs G and H it holds that $G \simeq_t^{L^+} H$ if and only if $G \equiv_{\mathcal{L}_t^+} H$.

Given the aforementioned correspondence between the Sherali–Adams relaxation with and without non-negativity constraints and homomorphism indistinguishability over graphs of bounded treewidth and pathwidth, we conduct a detailed study of the relationship between the class of graphs of bounded treewidth, pathwidth, and the classes \mathcal{L}_t and \mathcal{L}_t^+ . Their results, depicted in Figure 1, yield independent proofs of the known relations between feasibility of the Lasserre relaxation with and without non-negativity constraints and the Sherali–Adams relaxation with and without non-negativity constraints [5, 2, 18] using the framework of homomorphism indistinguishability.

In the course of proving Theorems 1.2 and 1.3, we derive further equivalent characterisations of \simeq_t^L and $\simeq_t^{L^+}$. These characterisations, which are mostly of a linear algebraic nature, ultimately yield a characterisation of $\simeq_t^{L^+}$ in terms of a fragment of first-order logic with counting quantifiers and indistinguishability under a polynomial time algorithm akin to the Weisfeiler– Leman algorithm. In this way, we obtain the following algorithmic result. It implies that *exact* feasibility of the Lasserre semidefinite program with non-negativity constraints can be tested in polynomial time. In general, only the *approximate* feasibility of semidefinite programs can be decided efficiently, e.g. using the ellipsoid method [20, 2]. Our reformulations of \simeq_t^L fall short of yielding a polynomial-time algorithm for exact feasibility of the Lasserre semidefinite program without non-negativity constraints.

THEOREM 1.4. Let $t \ge 1$. Given graphs G and H, it can be decided in polynomial time whether $G \simeq_t^{L^+} H$.

Finally, for t = 1, we show that \mathcal{L}_1 and \mathcal{L}_1^+ are respectively equal to the class $O\mathcal{P}$ of outerplanar graphs and to the class of graphs of treewidth at most 2. The following Theorem 1.5 parallels a result of [25] asserting that two graphs *G* and *H* are indistinguishable under the 2-WL algorithm iff $G \simeq_1^{L^+} H$.

THEOREM 1.5. Two graphs G and H satisfy $G \simeq_1^L H$ if and only if $G \equiv_{OP} H$.

1.2 Techniques

In the first part of the paper (Section 3), linear algebraic tools developed in [26, 25] are generalised to yield reformulations of the entire Lasserre hierarchy with and without non-negativity constraints. Section 4 is concerned with the graph theoretic properties of the graph classes \mathcal{L}_t and \mathcal{L}_t^+ . For understanding the homomorphism indistinguishability relations over these graph classes, the framework of bilabelled graphs and their homomorphism tensors developed in [27, 18] is used. Despite this, our approach is different from [18, 31] in the sense that here the graph classes \mathcal{L}_t and \mathcal{L}_t^+ are inferred from given systems of equations, namely the Lasserre relaxation, rather than that a system of equations is built for a given graph class.

2. Preliminaries

2.1 Linear Algebra

Let \mathcal{PSD} denote the family of real *positive semidefinite matrices*, i.e. of matrices M of the form $M_{ij} = v_i^T v_j$ for vectors v_1, \ldots, v_n , the *Gram vectors* of M. Write $M \geq 0$ iff $M \in \mathcal{PSD}$. Let \mathcal{DNN} denote the family of *doubly non-negative matrices*, i.e. of entry-wise non-negative positive semidefinite matrices.

Let $n, m \ge 1$. Write $id_n \in \mathbb{C}^{n \times n}$ for the identity matrix. The *tensor product* of two matrices $X = (x_{ij}) \in \mathbb{C}^{n \times n}$ and $Y \in \mathbb{C}^{m \times m}$ is the block matrix

$$X \otimes Y = \begin{pmatrix} x_{11}Y & \dots & x_{1n}Y \\ \vdots & \ddots & \vdots \\ x_{n1}Y & \dots & x_{nn}Y \end{pmatrix} \in \mathbb{C}^{nm \times nm}.$$

A *tensor* is an element $A \in \mathbb{C}^{n^t \times n^t}$ for some $n, t \in \mathbb{N}$. For a tensor $A \in \mathbb{C}^{n^t \times n^t}$, write soe(A) := $\sum_{i,j=1}^{n^t} A_{ij}$ for its *sum-of-entries*. The symmetric group \mathfrak{S}_{2t} acts on $\mathbb{C}^{n^t \times n^t}$ by permuting

the coordinates, i.e. for all $\boldsymbol{u}, \boldsymbol{v} \in [n]^t$ and $\sigma \in \mathfrak{S}_{2t}$, $A^{\sigma}(\boldsymbol{u}, \boldsymbol{v}) \coloneqq A(\boldsymbol{x}, \boldsymbol{y})$ where $\boldsymbol{x}_i \coloneqq (\boldsymbol{u}\boldsymbol{v})_{\sigma^{-1}(i)}$ and $\boldsymbol{y}_{j-t} \coloneqq (\boldsymbol{u}\boldsymbol{v})_{\sigma^{-1}(j)}$ for all $1 \le i \le t < j \le 2t$.

We recall the following lemmas from [27]. A linear map $\Phi: \mathbb{C}^{m \times m} \to \mathbb{C}^{n \times n}$ is tracepreserving if $\operatorname{tr}(\Phi(X)) = \operatorname{tr}(X)$ for all $X \in \mathbb{C}^{m \times m}$, unital if $\Phi(\operatorname{id}_m) = \operatorname{id}_n$, \mathcal{K} -preserving for a family of matrices \mathcal{K} if $\Phi(K) \in \mathcal{K}$ for all $K \in \mathcal{K}$, positive if it is \mathcal{PSD} -preserving, i.e. if $\Phi(X)$ is positive semidefinite for all positive semidefinite X, completely positive if $\operatorname{id}_r \otimes \Phi$ is positive for all $r \in \mathbb{N}$. The *Choi matrix* of Φ is $C_{\Phi} = \sum_{i,j=1}^{m} E_{ij} \otimes \Phi(E_{ij}) \in \mathbb{C}^{mn \times mn}$. Here, $E_{ij} \in \mathbb{C}^{m \times m}$ denotes the matrix whose (i, j)-th entry is 1 and all whose other entries are zero. The statement of Lemma 2.1 for \mathcal{PSD} is well-known, cf. e.g. [9].

LEMMA 2.1 ([27, Lemma 4.4]). Consider a family of matrices $\mathcal{K} \in \{\mathcal{DNN}, \mathcal{PSD}\}$ and a linear map $\Phi \colon \mathbb{C}^{m \times m} \to \mathbb{C}^{n \times n}$. The following are equivalent:

- 1. the map $id_m \otimes \Phi$ is \mathcal{K} -preserving,
- 2. the Choi matrix C_{Φ} lies in \mathcal{K} ,
- *3.* Φ *is* completely \mathcal{K} -preserving, *i.e.* $\mathrm{id}_r \otimes \Phi$ *is* \mathcal{K} -preserving for all $r \in \mathbb{N}$.

For $\Phi \colon \mathbb{C}^{m \times m} \to \mathbb{C}^{n \times n}$, write $\Phi^* \colon \mathbb{C}^{n \times n} \to \mathbb{C}^{m \times m}$ for the *adjoint* of Φ . As a matrix, Φ^* is the conjugate transpose of Φ .

LEMMA 2.2 ([27, Lemma 4.10]). Let $\Phi: \mathbb{C}^{n \times n} \to \mathbb{C}^{n \times n}$ be a linear map which is completely positive, trace-preserving, and unital. Then for any matrix X such that $\Phi^*(\Phi(X)) = X$ it holds that $\Phi(XW) = \Phi(X)\Phi(W)$ and $\Phi(WX) = \Phi(W)\Phi(X)$ for all $W \in \mathbb{C}^{n \times n}$.

A vector space $\mathcal{A} \subseteq \mathbb{C}^{n \times n}$ is an *algebra* if it is closed under matrix multiplication. It is *unital* if it contains the identity matrix id_n . It is *self-adjoint* if it is closed under taking conjugate transposes.

LEMMA 2.3 ([27, Lemma 5.1]). Let \mathcal{A} and \mathcal{B} be self-adjoint unital subalgebras of $\mathbb{C}^{n \times n}$ and $\varphi \colon \mathcal{A} \to \mathcal{B}$ be a trace-preserving isomorphism such that $\varphi(X^*) = \varphi(X)^*$ for all $X \in \mathcal{A}$. Then there exists a unitary $U \in \mathbb{C}^{n \times n}$ such that $\varphi(X) = UXU^*$ for all $X \in \mathcal{A}$.

For two vectors $v, w \in \mathbb{C}^n$, write $v \odot w$ for their *Schur product*, i.e. $(v \odot w)(i) \coloneqq v(i)w(i)$ for all $i \in [n]$.

LEMMA 2.4 ([27, Lemma 4.5]). Let $D \in \mathbb{C}^{m \times n}$ be a matrix and let $u \in \mathbb{C}^n$ and $v \in \mathbb{C}^m$. Then the following are equivalent:

- 1. $D(u \odot w) = v \odot (Dw)$ for all $w \in \mathbb{C}^n$,
- 2. $D_{ij} = 0$ for all $i \in [m]$ and $j \in [n]$ such that $v_i \neq u_j$,
- 3. $D^*(v \odot z) = u \odot (D^*z)$ for all $z \in \mathbb{C}^m$.

2.2 Bilabelled Graphs and Homomorphism Tensors

All graphs in this article are undirected, finite, and without multiple edges. A graph is *simple* if it does not contain any loops. A *homomorphism* $h: F \to G$ from a graph F to a graph G is a map $V(F) \to V(G)$ such that for all $uv \in E(F)$ it holds that $h(u)h(v) \in E(G)$. Note that this implies that any vertex in F carrying a loop must be mapped to a vertex carrying a loop in G. Write hom(F, G) for the number of homomorphisms from F to G. For a family of graphs \mathcal{F} and graphs G and H write $G \equiv_{\mathcal{F}} H$ if G and H are *homomorphism indistinguishable over* \mathcal{F} , i.e. hom(F, G) = hom(F, H) for all $F \in \mathcal{F}$. Since the graphs G and H into which homomorphisms are counted are throughout assumed to be simple, looped graphs in \mathcal{F} can generally be disregarded as they do not admit any homomorphisms into simple graphs.

We recall the following definitions from [25, 18]. Let $k, \ell \ge 1$. A (k, ℓ) -bilabelled graph is a tuple $\mathbf{F} = (F, \mathbf{u}, \mathbf{v})$ where F is a graph and $\mathbf{u} \in V(F)^k$, $\mathbf{v} \in V(F)^\ell$. The \mathbf{u} are the *in-labelled* vertices of \mathbf{F} while the \mathbf{v} are the *out-labelled* vertices of \mathbf{F} . Given a graph G, the homomorphism tensor of \mathbf{F} for G is $\mathbf{F}_G \in \mathbb{C}^{V(G)^k \times V(G)^\ell}$ whose (\mathbf{x}, \mathbf{y}) -th entry is the number of homomorphisms $h: F \to G$ such that $h(\mathbf{u}_i) = \mathbf{x}_i$ and $h(\mathbf{v}_j) = \mathbf{y}_j$ for all $i \in [k]$ and $j \in [\ell]$.

For a (k, ℓ) -bilabelled graph F = (F, u, v), write soe $(F) \coloneqq F$ for the underlying unlabelled graph of F. Here, soe stands for "sum-of-entries". If $k = \ell$, write tr(F) for the unlabelled graph underlying the graph obtained from F by identifying u_i with v_i for all $i \in [\ell]$. For $\sigma \in \mathfrak{S}_{k+\ell}$, write $F^{\sigma} \coloneqq (F, x, y)$ where $x_i \coloneqq (uv)_{\sigma(i)}$ and $y_{j-k} \coloneqq (uv)_{\sigma(j)}$ for all $1 \le i \le k < j \le k + \ell$, i.e. F^{σ} is obtained from F by permuting the labels according to σ . As a special case, define $F^* \coloneqq (F, v, u)$ the graph obtained by swapping in- and out-labels.

Let $\mathbf{F} = (F, \mathbf{u}, \mathbf{v})$ and $\mathbf{F}' = (F', \mathbf{u}', \mathbf{v}')$ be (k, ℓ) -bilabelled and (m, n)-bilabelled, respectively. If $\ell = m$, write $\mathbf{F} \cdot \mathbf{F}'$ for the (k, n)-bilabelled graph obtained from them by *series composition*. That is, the underlying unlabelled graph of $\mathbf{F} \cdot \mathbf{F}'$ is the graph obtained from the disjoint union of F and F' by identifying \mathbf{v}_i and \mathbf{u}'_i for all $i \in [\ell]$. Multiple edges arising in this process are removed. Loops are retained. The in-labels of $\mathbf{F} \cdot \mathbf{F}'$ lie on \mathbf{u} , the out-labels on \mathbf{v}' . Moreover, if k = m and $\ell = n$, write $\mathbf{F} \odot \mathbf{F}'$ for the *parallel composition* of \mathbf{F} and \mathbf{F}' . That is, the underlying unlabelled graph of the (k, ℓ) -bilabelled graph $\mathbf{F} \odot \mathbf{F}'$ is the graph obtained from the disjoint union of F and F' by identifying \mathbf{u}_i with \mathbf{u}'_i and \mathbf{v}_j with \mathbf{v}'_j for all $i \in [k]$ and $j \in [\ell]$. Again, multiple edges are dropped and loops retained. The in-labels of $\mathbf{F} \odot \mathbf{F}'$ lie on \mathbf{u} , the out-labels on \mathbf{v} .

As observed in [25, 18], the benefit of these combinatorial operations is that they have an algebraic counterpart. Formally, for all graphs *G* and all (ℓ, ℓ) -bilabelled graphs *F*, *F'*, it holds that

1. $\operatorname{soe}(F_G) = \operatorname{hom}(\operatorname{soe}(F), G)$,

- 2. $\operatorname{tr}(\boldsymbol{F}_G) = \operatorname{hom}(\operatorname{tr}(\boldsymbol{F}), G),$
- 3. $(\mathbf{F}_G)^{\sigma} = (\mathbf{F}^{\sigma})_G$,

- 4. $\boldsymbol{F}_G \cdot \boldsymbol{F}'_G = (\boldsymbol{F} \cdot \boldsymbol{F}')_G$, and
- 5. $\mathbf{F}_G \odot \mathbf{F}'_G = (\mathbf{F} \odot \mathbf{F}')_G$.

Slightly abusing notation, we say that two graphs G and H are homomorphism indistinguishable over a family of bilabelled graphs S, in symbols $G \equiv_S H$ if G and H are homomorphism indistinguishable over the family {soe(S) | $S \in S$ } of the underlying unlabelled graphs of the $S \in S$.

2.3 Pathwidth and Treewidth

DEFINITION 2.5. For graphs *F* and *T*, a *T*-decomposition of *F* is a map $\beta : V(T) \rightarrow 2^{V(F)}$ such that

- 1. $\bigcup_{t \in V(T)} \beta(t) = V(F)$,
- 2. for every $e \in E(F)$, there is $t \in V(T)$ such that $e \subseteq \beta(t)$,
- 3. for every $v \in V(F)$, the set of $t \in V(T)$ such that $v \in \beta(t)$ induces a connected subgraph of *T*.

The *width* of a *T*-decomposition β is $\max_{t \in V(T)} |\beta(t)| - 1$.

A *T*-decomposition for a tree *T* is called a *tree decomposition*. A *P*-decomposition for a path *P* is called a *path decomposition*. The *treewidth* tw *F* of a graph *F* is the minimal width of a tree decomposition. Similarly, the *pathwidth* pw *F* is the minimal width of a path decomposition. For every $t \ge 0$, write \mathcal{TW}_t and \mathcal{PW}_t for the classes of all graphs of treewidth and respectively pathwidth at most *t*. The following slight generalisation of [6, Lemma 8] is used repeatedly in Section 4.1.

LEMMA 2.6 ([6, Lemma 8]). Let G be a graph and $k \ge 0$ such that tw $G \le k$ and $|V(G)| \ge k + 1$. Then G has a tree decomposition $\beta: V(T) \to 2^{V(G)}$ such that $|\beta(t)| = k + 1$ for all $t \in V(T)$ and $|\beta(s) \cap \beta(t)| = k$ for all $st \in E(T)$. Furthermore, if pw $G \le k$, then T can be chosen to be a path.

PROOF. Suppose that the treewidth of *G* is $\ell \leq k$. If |V(G)| = k + 1 then the tree decomposition over the one-vertex tree is as desired. Otherwise, any tree decomposition of width at most *k* must be over a graph on at least two vertices. Let $\beta \colon V(T) \to 2^{V(G)}$ be any tree decomposition of width ℓ . We repeatedly apply the following steps:

- If $st \in E(T)$ is such that $\beta(s) \subseteq \beta(t)$ or $\beta(t) \subseteq \beta(s)$ then the edge st in T can be contracted and the set $\beta(s) \cup \beta(t)$ can be taken to be the bag at the vertex obtained by contraction.
- If $st \in E(T)$ and $|\beta(s)| < k + 1$ and $\beta(t) \notin \beta(s)$ then $\beta(s)$ can be enlarged by a vertex $v \in \beta(s) \setminus \beta(t)$.

If none of these operations can be applied, the tree decomposition is as desired.

The operations used to manipulate the decomposition tree were contraction and subdivision. If the initial decomposition tree is in fact a path then the resulting tree will also be a path. This yields the last assertion.

2.4 Systems of Equations for Graph Isomorphism

Let *G* and *H* be simple graphs with vertices $g_1, \ldots, g_\ell \in V(G)$ and $h_1, \ldots, h_\ell \in V(H)$ for $\ell \ge 1$. The *atomic type* of a tuple of vertices of a graph is defined as follows: Let $atp_G(g_1, \ldots, g_\ell) =$ $\operatorname{atp}_{H}(h_{1},\ldots,h_{\ell})$ if $g_{i} = g_{j} \Leftrightarrow h_{i} = h_{j}$ and $g_{i}g_{j} \in E(G) \Leftrightarrow h_{i}h_{j} \in E(H)$ for all $i, j \in [\ell]$. In this case, the set $\{g_1h_1, \ldots, g_\ell h_\ell\} \in \binom{V(G) \times V(H)}{\ell}$ is called a *partial isomorphism*.

Two simple graphs *G* and *H* are isomorphic if and only if there exists a {0, 1}-solution to quadratic integer program ISO(G, H) which comprises variables X_{gh} for $gh \in V(G) \times V(H)$ and equations

$$\sum_{h \in V(H)} X_{gh} - 1 = 0 \qquad \text{for all } g \in V(G), \tag{1}$$

$$\sum_{h \in V(H)} X_{gh} - 1 = 0 \qquad \text{for all } h \in V(H), \tag{2}$$

$$\sum_{g \in V(G)} X_{gh} - 1 = 0 \qquad \text{for all } h \in V(H), \tag{2}$$

$$X_{gh} X_{g'h'} = 0 \qquad \text{for all } gh, g'h' \in V(G) \times V(H) \text{ s.t. } \operatorname{atp}_G(g, g') \neq \operatorname{atp}_H(h, h'). \tag{3}$$

We define the Lasserre relaxation of
$$ISO(G, H)$$
 following [25]. See also Appendix A for a

comparison to the version used in [2].

DEFINITION 2.7. Let $t \ge 1$. The level-t Lasserre relaxation for graph isomorphism has variables y_I ranging over \mathbb{R} for $I \in \binom{V(G) \times V(H)}{\leq 2t}$. The constraints are

$$M_t(y) \coloneqq (y_{I\cup J})_{I,J\in\binom{V(G)\times V(H)}{ct}} \ge 0, \tag{4}$$

$$y_{I} = y_{I}$$
 for all $I \in \binom{V(G) \times V(H)}{\leq 2t-2}$ and all $g \in V(G)$, (5)

$$\sum_{h \in V(H)} y_{I \cup \{gh\}} = y_I \qquad \text{for all } I \in \binom{V(G) \times V(H)}{\leq 2t-2} \text{ and all } g \in V(G), \qquad (5)$$
$$\sum_{g \in V(G)} y_{I \cup \{gh\}} = y_I \qquad \text{for all } I \in \binom{V(G) \times V(H)}{\leq 2t-2} \text{ and all } h \in V(H), \qquad (6)$$

for all
$$I \in \binom{V(G) \times V(H)}{\leq 2t}$$
 such that I is not a partial isomorphism, (7)

$$y_{\emptyset} = 1. \tag{8}$$

If the system is feasible for two graphs G and H, write $G \simeq_t^L H$. If the system together with the constraint $y_I \ge 0$ for all $I \in \binom{V(G) \times V(H)}{\leq 2t}$ is feasible, write $G \simeq_t^{L^+} H$.

 $y_I = 0$

In Theorem 3.8, we show that the 2t - 2 in Equations (5) and (6) can be replaced by 2t - 1without loss of generality. That is, the system in Definition 2.7 has a (non-negative) real solution if and only if the system obtained replacing Equations (5) and (6) with Equations (19) and (20) has a (non-negative) real solution. The 2t - 2 in Definition 2.7 is an artefact of its construction from ISO(G, H), cf. [27, Section 10] and [10, Equations (2d)–(2e)].

The second hierarchy of integer programming relaxation considered in this article is the Sherali–Adams relaxation [37]. It has been applied both to the integer linear program and to ISO(G, H), the integer quadratic program for graph isomorphism. For the linear program, it was shown in [4] that the Sherali–Adams levels are sandwiched between the levels of the Weisfeiler–Leman hierarchy. Subsequently, variants of these linear programs were proposed in [17] which correspond precisely to the levels of the latter hierarchy. In this work, we focus on the Sherali–Adams relaxations of the integer quadratic program ISO(G, H). See [19, Section 2.7] for a definition. In [24], it was shown that the level-*t* Sherali–Adams relaxation of ISO(G, H) has a non-negative rational solution if and only if *G* and *H* are not distinguished by the (t - 1)-dimensional Weisfeiler–Leman algorithm. The following Theorem 2.8 summarises equivalent formulations.

THEOREM 2.8 ([24, 14, 7]). Let $t \ge 1$. For graphs G and H, the following are equivalent:

- 1. the level-t Sherali–Adams relaxation of ISO(G, H) has a non-negative rational solution, i.e. $G \simeq_t^{SA} H$,
- 2. G and H satisfy the same sentences of t-variable first order logic with counting quantifiers,
- 3. G and H are homomorphism indistinguishable over the graphs of treewidth at most t 1,
- 4. *G* and *H* are not distinguished by the (t 1)-dimensional Weisfeiler–Leman algorithm,

3. From Lasserre to Homomorphism Tensors

In this section, the tools are developed which will be used to translate a solution to the level-*t* Lasserre relaxation into a statement on homomorphism indistinguishability. For this purpose, three equivalent characterisations of \simeq_t^L and $\simeq_t^{L^+}$ are introduced. Theorems 3.1 and 3.2 summarise our results. The notions in items 2–4 and the graph classes \mathcal{L}_t and \mathcal{L}_t^+ are defined in Sections 3.1, 3.2, 3.4 and 4, respectively. Most of the proofs are of a linear algebraic nature. Graph theoretical repercussions are discussed in Section 4.

THEOREM 3.1. Let $t \ge 1$. For graphs G and H, the following are equivalent:

- 1. the level-t Lasserre relaxation of ISO(G, H) is feasible,
- 2. G and H are level-t \mathcal{PSD} -isomorphic, cf. Definition 3.3,
- 3. there is a level-t \mathcal{PSD} -isomorphism map from G to H, cf. Theorem 3.6,
- 4. G and H are partially t-equivalent, cf. Definition 3.13,
- 5. *G* and *H* are homomorphism indistinguishable over \mathcal{L}_t , cf. Definition 4.1.

THEOREM 3.2. Let $t \ge 1$. For graphs G and H, the following are equivalent:

1. the level-t Lasserre relaxation of ISO(G, H) with non-negativity constraints is feasible,

- 2. G and H are level-t DNN-isomorphic, cf. Definition 3.3,
- 3. there is a level-t DNN-isomorphism map from G to H, cf. Theorem 3.6,
- 4. G and H are t-equivalent, cf. Definition 3.15,
- 5. *G* and *H* are homomorphism indistinguishable over \mathcal{L}_t^+ , cf. Definition 4.1.

Variants of the notions in items 2–4 have already been defined for the case t = 1 in [27]. Our contribution amounts to extending these definitions to the entire Lasserre hierarchy. A recurring theme in this context is accounting for additional symmetries. The variables y_I of the Lasserre system of equations, cf. Definition 2.7, are indexed by sets of vertex pairs rather than by tuples of such. Hence, when passing from such variables to tuple-indexed matrices, one must impose the additional symmetries arising this way. This is formalised at various points using an action of the symmetric group on the axes of the matrices. In the case t = 1, such a set-up is not necessary since indices I are of size at most 2 and all occurring matrices can be taken to be invariant under transposition.

In the subsequent sections, Theorems 3.1 and 3.2 will be proven in parallel. The equivalence of items 1 and 2, 2 and 3, and 3 and 4 are established in Section 3.3, Section 3.2, and Section 3.4, respectively. The statements on homomorphism indistinguishability are proven in Section 4.

3.1 Isomorphism Relaxations via Matrix Families

In this section, as a first step towards proving Theorems 3.1 and 3.2, the notion of level-*t* \mathcal{K} -isomorphic graphs for arbitrary families of matrices \mathcal{K} is introduced. In [27], level-1 \mathcal{K} -isomorphic graphs where studied for various families of matrices \mathcal{K} . In this work, the main interest lies on the family of positive semidefinite matrices \mathcal{PSD} and the family of entry-wise non-negative positive semidefinite matrices \mathcal{DNN} . Level-*t* isomorphism for these families is proven to correspond to \simeq_t^{L} and $\simeq_t^{\mathrm{L}^+}$ respectively, cf. Theorems 3.8 and 3.12.

DEFINITION 3.3. Let \mathcal{K} be a family of matrices. Graphs G and H are said to be *level-t* \mathcal{K} *isomorphic*, in symbols $G \cong_{\mathcal{K}}^{t} H$, if there is a matrix $M \in \mathcal{K}$ with rows and columns indexed by $(V(G) \times V(H))^{t}$ such that for every $g_{1}h_{1} \dots g_{t}h_{t}, g_{t+1}h_{t+1} \dots g_{2t}h_{2t} \in (V(G) \times V(H))^{t}$ the following equations hold:

For every $i \in [2t]$,

 g_1

$$\sum_{g_i \in V(G)} M_{g_1 h_1 \dots g_t h_t, g_{t+1} h_{t+1} \dots g_{2t} h_{2t}} = \sum_{h_i \in V(H)} M_{g_1 h_1 \dots g_t h_t, g_{t+1} h_{t+1} \dots g_{2t} h_{2t}},$$
(9)

$$\sum_{h_{1},\dots,g_{2t}\in V(G)} M_{g_{1}h_{1}\dots g_{t}h_{t},g_{t+1}h_{t+1}\dots g_{2t}h_{2t}} = 1 = \sum_{h_{1},\dots,h_{2t}\in V(H)} M_{g_{1}h_{1}\dots g_{t}h_{t},g_{t+1}h_{t+1}\dots g_{2t}h_{2t}}.$$
 (10)

If $\operatorname{atp}_G(g_1, \ldots, g_{2t}) \neq \operatorname{atp}_H(h_1, \ldots, h_{2t})$ then

$$M_{g_1h_1\dots g_th_t, g_{t+1}h_{t+1}\dots g_{2t}h_{2t}} = 0. (11)$$



Figure 2. Examples of the atomic graphs from Definition 3.5. The gray lines (the *wires* **[25]**) indicate the in-labels (left) and out-labels (right).

For all $\sigma \in \mathfrak{S}_{2t}$,

$$M_{g_1h_1...g_th_t,g_{t+1}h_{t+1}...g_{2t}h_{2t}} = M_{g_{\sigma(1)}h_{\sigma(1)}...g_{\sigma(t)}h_{\sigma(t)},g_{\sigma(t+1)}h_{\sigma(t+1)}...g_{\sigma(2t)}h_{\sigma(2t)}}.$$
 (12)

Note that for t = 1 and any family of matrices \mathcal{K} closed under taking transposes Equation (12) is vacuous.

Systems of equations comparing graphs akin to Equations (9) to (12) were also studied by [18]. Feasibility of such equations is typically invariant under taking the complements of the graphs as remarked below. This semantic property of the relation $\cong_{\mathcal{K}}^{t}$ is relevant in the context of homomorphism indistinguishability as shown by [35].

REMARK 3.4. For a simple graph *G*, write \overline{G} for its complement, i.e. $V(\overline{G}) := V(G)$ and $E(\overline{G}) := {\binom{V(G)}{2}} \setminus E(G)$. For all graphs *G* and *H* and $g_1, \ldots, g_{2t} \in V(G), h_1, \ldots, h_{2t} \in V(H)$, it holds that

$$\operatorname{atp}_{G}(g_{1},\ldots,g_{2t}) = \operatorname{atp}_{H}(h_{1},\ldots,h_{2t}) \iff \operatorname{atp}_{\overline{G}}(g_{1},\ldots,g_{2t}) = \operatorname{atp}_{\overline{H}}(h_{1},\ldots,h_{2t}).$$

Thus, $G \cong_{\mathcal{K}}^{t} H$ if and only if $\overline{G} \cong_{\mathcal{K}}^{t} \overline{H}$ for all families of matrices \mathcal{K} and $t \in \mathbb{N}$.

3.2 Choi Matrices and Isomorphism Maps

In this section, an alternative characterisation for level- $t \mathcal{K}$ -isomorphism is given. Intuitively, the indices of the matrix $M \in \mathbb{C}^{(V(G) \times V(H))^t \times (V(G) \times V(H))^t}$ from Definition 3.3 are regrouped yielding a linear map $\Phi \colon \mathbb{C}^{V(G)^t \times V(G)^t} \to \mathbb{C}^{V(H)^t \times V(H)^t}$. In linear algebraic terms, M is the Choi matrix of Φ . The map Φ will later be interpreted as a function sending homomorphism tensors of (t, t)-bilabelled graphs $F_G \in \mathbb{C}^{V(G)^t \times V(G)^t}$ with respect to G to their counterparts F_H for H.

The most basic bilabelled graphs, so-called *atomic* graphs, make their first appearance in Theorem 3.6. These graphs are used to reformulate Equations (7) and (11). The atomic graphs are also the graphs which the sets \mathcal{L}_t and \mathcal{L}_t^+ of Theorems 1.2 and 1.3 are generated by, cf. Definition 4.1. Examples are depicted in Figures 2 and 4.

DEFINITION 3.5. Let $t \ge 1$. A (t, t)-bilabelled graph F = (F, u, v) is *atomic* if all its vertices are labelled. Write \mathcal{A}_t for the set of (t, t)-bilabelled atomic graphs. Note that the set of atomic graphs \mathcal{A}_t is generated under parallel composition by the graphs

- J := (J, (1, ..., t), (t + 1, ..., 2t)) with $V(J) = [2t], E(J) = \emptyset$,
- $A^{ij} := (A^{ij}, (1, ..., t), (t + 1, ..., 2t))$ with $V(A^{ij}) = [2t], E(A^{ij}) = \{ij\}$ for $1 \le i < j \le 2t$,
- I^{ij} for $1 \le i < j \le 2t$ which is obtained from A^{ij} by contracting the edge ij and removing the resulting loop.

The following Theorem 3.6 relates the properties of Φ and M. In Equation (15), J denotes the all-ones matrix of appropriate dimension.

THEOREM 3.6. Let $t \ge 1$. Let G and H be graphs and $\mathcal{K} \in \{\mathcal{DNN}, \mathcal{PSD}\}$ be a family of matrices. Let $\Phi : \mathbb{C}^{V(G)^t \times V(G)^t} \to \mathbb{C}^{V(H)^t \times V(H)^t}$ be a linear map. Then the following are equivalent.

- 1. The Choi matrix C_{Φ} of Φ satisfies Equations (9) to (12) and $C_{\Phi} \in \mathcal{K}$,
- 2. Φ is a level-*t* K-isomorphism map from *G* to *H*, *i.e.* it satisfies

 $\Phi is completely \mathcal{K}\text{-}preserving, \tag{13}$

$$\Phi(\mathbf{A}_G \odot X) = \mathbf{A}_H \odot \Phi(X) \text{ for all atomic } \mathbf{A} \in \mathcal{A}_t \text{ and all } X \in \mathbb{C}^{V(G)^t \times V(G)^t},$$
(14)

$$\Phi(J) = J = \Phi^*(J),\tag{15}$$

$$\Phi(X^{\sigma}) = \Phi(X)^{\sigma} \text{ for all } \sigma \in \mathfrak{S}_{2t} \text{ and all } X \in \mathbb{C}^{V(G)^{t} \times V(G)^{t}}.$$
(16)

3. Φ^* is a level-t \mathcal{K} -isomorphism map from H to G.

We remark that Theorem 3.6 and in particular its Equation (15) have brought us closer to interpreting the Lasserre system of equation from the perspective of homomorphism indistinguishability. As argued in Remark 3.7, the map Φ , which will be understood as mapping homomorphism tensors F_G to F_H , is sum-preserving. Since the sum of the entries of these tensors equals the number of homomorphisms from their underlying unlabelled graphs to G and H, respectively, this is relevant for establishing a connection between \mathcal{K} -isomorphism maps and homomorphism indistinguishability.

REMARK 3.7. If a linear map $\Phi: \mathbb{C}^{n \times n} \to \mathbb{C}^{m \times m}$ is such that $J = \Phi^*(J)$ then it is *sum-preserving*, i.e. $\operatorname{soe}(X) = \operatorname{soe}(\Phi(X))$ for all $X \in \mathbb{C}^{n \times n}$. Indeed, $\operatorname{soe}(X) = \langle X, J \rangle = \langle X, \Phi^*(J) \rangle = \langle \Phi(X), J \rangle =$ $\operatorname{soe}(\Phi(X))$ where $\langle A, B \rangle := \operatorname{tr}(AB^*)$. In particular, if there is Φ satisfying Equations (14) and (15) for graphs *G* and *H* then |V(G)| = |V(H)|.

Equipped with Remark 3.7, we conduct the proof of Theorem 3.6.

PROOF OF THEOREM 3.6. The equivalence of Items 2 and 3 follows immediately from Lemmas 2.1 and 2.4.





For the equivalence of Items 1 and 2, first note that, by Lemma 2.1, $C_{\Phi} \in \mathcal{K}$ if and only if Property (13) holds. Moreover, for $g_1, \ldots, g_{2t} \in V(G)$ and $h_1, \ldots, h_{2t} \in V(H)$, the assertions

$$\forall \mathbf{A} \in \mathcal{A}(t,t), \quad \mathbf{A}_G(g_1 \dots g_t, g_{t+1} \dots g_{2t}) = \mathbf{A}_H(h_1 \dots h_t, h_{t+1} \dots h_{2t})$$

and $\operatorname{atp}_G(g_1, \ldots, g_{2t}) = \operatorname{atp}_H(h_1, \ldots, h_{2t})$ are equivalent. By Lemma 2.4, Equations (11) and (14) are equivalent. Furthermore, Equations (12) and (16) and Equations (10) and (15) are respectively equivalent.

Finally, we argue that Items 2 and 3 imply Equation (9). To that end, consider the atomic graph $\mathbf{K}^j \in \mathcal{A}(t, t)$ for $j \in [t]$ as defined in Equation (17) and depicted by Figure 3.

$$\boldsymbol{K}^{j} \coloneqq \boldsymbol{I}^{1,t+1} \odot \cdots \odot \boldsymbol{I}^{j-1,t+j-1} \odot \boldsymbol{I}^{j+1,t+j+1} \odot \cdots \odot \boldsymbol{I}^{t,2t}.$$
(17)

In order to apply Lemma 2.2, we first argue that Φ is trace-preserving. Let

$$\boldsymbol{I} \coloneqq \boldsymbol{I}^{1,t+1} \odot \cdots \odot \boldsymbol{I}^{t,2t} \in \mathcal{A}(t,t).$$

By Equation (15) and Remark 3.7, Φ is sum-preserving. For every $X \in \mathbb{C}^{V(G)^t \times V(G)^t}$,

$$\operatorname{tr}(\Phi(X)) = \operatorname{soe}(\boldsymbol{I}_H \odot \Phi(X)) \stackrel{(14)}{=} \operatorname{soe}(\Phi(\boldsymbol{I}_G \odot X)) = \operatorname{soe}(\boldsymbol{I}_G \odot X) = \operatorname{tr}(X)$$

Thus, Lemma 2.2 and Equation (14) yield that for all $j \in [t]$ and all $X \in \mathbb{C}^{V(G)^t \times V(G)^t}$,

$$\Phi(\mathbf{K}_{G}^{j}X) = \Phi(\mathbf{K}_{G}^{j})\Phi(X) \quad \text{and} \quad \Phi(X\mathbf{K}_{G}^{j}) = \Phi(X)\Phi(\mathbf{K}_{G}^{j}).$$
(18)

Next, we substitute standard basis elements for *X* in Equation (18). For $g_1, \ldots, g_{2t} \in V(G)$, write $E^{g_1 \ldots g_{2t}} \in \mathbb{C}^{V(G)^t \times V(G)^t}$ for the corresponding standard basis vector. To ease notation, we verify Equation (9) for i = 1. For all $g_1, \ldots, g_{2t} \in V(G)$ and $h_1, \ldots, h_{2t} \in V(H)$,

$$\sum_{g \in V(G)} M_{gh_1g_2h_2...g_{2t}h_{2t}} = \sum_{g \in V(G)} \Phi_{h_1...h_{2t},gg_2...g_{2t}}$$
$$= \sum_{g \in V(G)} \Phi(E^{gg_2...g_{2t}})_{h_1...h_{2t}}$$

$$= \Phi(\mathbf{K}_{G}^{1} E^{g_{1}...g_{2t}})_{h_{1}...h_{2t}}$$

$$\stackrel{(18)}{=} (\Phi(\mathbf{K}_{G}^{1})\Phi(E^{g_{1}...g_{2t}}))_{h_{1}...h_{2t}}$$

$$\stackrel{(14)}{=} (\mathbf{K}_{H}^{1}\Phi(E^{g_{1}...g_{2t}}))_{h_{1}...h_{2t}}$$

$$= \sum_{h \in V(H)} \Phi_{hh_{2}...h_{2t},g_{1}...g_{2t}}$$

$$= \sum_{h \in V(G)} M_{g_{1}hg_{2}h_{2}...g_{2t}h_{2t}},$$

as desired.

3.3 From *K*-Isomorphism Maps to the Lasserre Hierarchy

By the following Theorems 3.8 and 3.12, the notions introduced in Definition 3.3 and Theorem 3.6 are equivalent to the object of our main interest, namely feasibility of the level-*t* Lasserre relaxation with and without non-negativity constraints. Our results extend those of [27, Lemma 10.1] to the entire Lasserre hierarchy.

THEOREM 3.8. Let $t \ge 1$. Two graphs G and H are level-t \mathcal{PSD} -isomorphic if and only if $G \simeq_t^{\mathrm{L}} H$.

PROOF. Suppose that $(y_I)_{I \in \binom{V(G) \times V(H)}{\leq 2t}}$ is a solution to Equations (4) to (8). It is argued that the matrix defined via $M_{g_1h_1...g_th_t,g_{t+1}h_{t+1}...g_{2t}h_{2t}} \coloneqq y_{\{g_1h_1,...,g_{2t}h_{2t}\}}$ satisfies Equations (9) to (12). Equation (11) follows directly from Equation (7). Equation (12) is immediate from the definition.

By Equation (4), let v_I for $I \in \binom{V(G) \times V(H)}{\leq t}$ be vectors such that $y_{I \cup J} = \langle v_I, v_J \rangle$ for $I, J \in \binom{V(G) \times V(H)}{\leq t}$. Then

$$M_{g_1h_1...g_th_t,g_{t+1}h_{t+1}} = y_{\{g_1h_1,...,g_{2t}h_{2t}\}} = \left\langle v_{\{g_1h_1,...,g_th_t\}}, v_{\{g_{t+1}h_{t+1},...,g_{2t}h_{2t}\}} \right\rangle.$$

Thus, *M* is positive semidefinite. It remains to verify Equations (9) and (10).

CLAIM 3.9. Every $I \in \binom{V(G) \times V(H)}{\leq t-1}$ satisfies $\sum_{g \in V(G)} v_{I \cup \{gh\}} = v_I = \sum_{h \in V(H)} v_{I \cup \{gh\}}$. **Proof.** Recall that $y_{I \cup J} = \langle v_I, v_J \rangle$ for $I, J \in \binom{V(G) \times V(H)}{\leq t}$. By Equations (6) and (7),

$$\left\langle \sum_{g \in V(G)} v_{I \cup \{gh\}}, \sum_{g \in V(G)} v_{I \cup \{gh\}} \right\rangle = \sum_{g,g' \in V(G)} \left\langle v_{I \cup \{gh\}}, v_{I \cup \{g'h\}} \right\rangle$$
$$= \sum_{g,g' \in V(G)} y_{I \cup \{gh\} \cup \{g'h\}}$$
$$\stackrel{(7)}{=} \sum_{g \in V(G)} y_{I \cup \{gh\}} \stackrel{(6)}{=} y_{I}.$$

Observe that Equation (6) indeed applies since $t - 1 \le 2t - 2$ for all $t \ge 1$. Moreover,

$$\left\langle v_I, \sum_{g \in V(G)} v_{I \cup \{gh\}} \right\rangle = \sum_{g \in V(G)} y_{I \cup \{gh\}} = y_I$$

and hence combining the above equalities,

$$\left\| v_{I} - \sum_{g \in V(G)} v_{I \cup \{gh\}} \right\|^{2} = y_{I} - 2y_{I} + y_{I} = 0$$

The claim is proven analogously when summation is over $h \in V(H)$.

Claim 3.9 and Equation (8) imply Equation (10). Indeed,

$$\sum_{g_1...g_{2t}\in V(G)} M_{g_1h_1...g_th_t,g_{t+1}h_{t+1}...g_{2t}h_{2t}} = \sum_{g_1...g_{2t}\in V(G)} y_{\{g_1h_1,...,g_th_t\}\cup\{g_{t+1}h_{t+1}...g_{2t}h_{2t}\}}$$
$$= \sum_{g_1...g_{2t}\in V(G)} \left\langle v_{\{g_1h_1,...,g_th_t\}}, v_{\{g_{t+1}h_{t+1}...g_{2t}h_{2t}\}} \right\rangle$$
$$= \left\langle v_{\emptyset}, v_{\emptyset} \right\rangle$$
$$= y_{\emptyset}$$
$$= 1.$$

Moreover, for Equation (9), letting i = 1 to ease notation,

$$\sum_{g_1 \in V(G)} M_{g_1 h_1 \dots g_t h_t, g_{t+1} h_{t+1} \dots g_{2t} h_{2t}} = \sum_{g_1 \in V(G)} y_{\{g_1 h_1\} \cup \{g_2 h_2 \dots g_t h_t\} \cup \{g_{t+1} h_{t+1} \dots g_{2t} h_{2t}\}}$$

$$= \sum_{g_1 \in V(G)} \left\langle v_{\{g_1 h_1\} \cup \{g_2 h_2 \dots g_t h_t\}}, v_{\{g_{t+1} h_{t+1} \dots g_{2t} h_{2t}\}} \right\rangle$$

$$= \sum_{h_1 \in V(G)} \left\langle v_{\{g_1 h_1\} \cup \{g_2 h_2 \dots g_t h_t\}}, v_{\{g_{t+1} h_{t+1} \dots g_{2t} h_{2t}\}} \right\rangle$$

$$= \sum_{h_1 \in V(G)} M_{g_1 h_1 \dots g_t h_t, g_{t+1} h_{t+1} \dots g_{2t} h_{2t}}.$$

This concludes the proof that *M* satisfies Equations (9) to (12).

Conversely, let $v_{\vec{I}}$ for $\vec{I} \in (V(G) \times V(H))^t$ denote the Gram vectors of a matrix M satisfying Equations (9) to (12). Define $v_I := v_{\vec{I}}$ for |I| = t and any ordering. By Equation (12), v_I is well-defined. Let furthermore,

$$v_I^{g_{i+1}\ldots g_t} \coloneqq \sum_{h_{i+1},\ldots,h_t \in V(H)} v_{\vec{I}g_{i+1}h_{i+1}\ldots g_t h_t}$$

for $I \in {\binom{V(G) \times V(H)}{i}}$ and $g_{i+1} \dots g_t \in V(G)^{t-i}$. Define $v_I^{h_{i+1} \dots h_t}$ analogously.

CLAIM 3.10. For all $g_{i+1} \dots g_t, g'_{i+1} \dots g'_t \in V(G)^{t-i}$, it holds that $v_I^{g_{i+1} \dots g_t} = v_I^{g'_{i+1} \dots g'_t}$.

Proof. By definition, the term $\left\| v_{I}^{g_{i+1}\dots g_{t}} - v_{I}^{g_{i+1}'\dots g_{t}'} \right\|^{2}$ is equal to

$$\sum_{\substack{h_{i+1},\ldots,h_t \in V(H), \\ h'_{i+1},\ldots,h'_t \in V(H)}} \left(M_{\vec{I}g_{i+1}h_{i+1}\ldots g_th_t,\vec{I}g_{i+1}h'_{i+1}\ldots g_th'_t} - 2M_{\vec{I}g_{i+1}h_{i+1}\ldots g_th_t,\vec{I}g'_{i+1}h'_{i+1}\ldots g'_th'_t} + M_{\vec{I}g'_{i+1}h_{i+1}\ldots g'_th_t,\vec{I}g'_{i+1}h'_{i+1}\ldots g'_th'_t} \right).$$

By Equation (9), this expression is zero.

By Claim 3.10, the reference to $g_{i+1} \dots g_t$ can be dropped, yielding vectors v_I^G and v_I^H . It follows that

$$|V(G)|^{t-i}v_{I}^{G} = \sum_{g_{i+1}\dots g_{t}\in V(G)^{t-i}} v_{I}^{g_{i+1}\dots g_{t}} = \sum_{\substack{g_{i+1}\dots g_{t}\in V(G)^{t-i}\\h_{i+1}\dots h_{t}\in V(H)^{t-i}}} v_{Ig_{i+1}h_{i+1}\dots g_{t}h_{t}}$$
$$= \sum_{h_{i+1}\dots h_{t}\in V(H)^{t-i}} v_{I}^{h_{i+1}\dots h_{t}} = |V(H)|^{t-i}v_{I}^{H}.$$

This implies that $v_I^G = v_I^H$ since *G* and *H* have the same number of vertices, cf. Remark 3.7. Let $v_I := v_I^G = v_I^H$. The following Claim 3.11 is immediate from Equation (12):

CLAIM 3.11. If
$$I \cup J = I' \cup J'$$
 for $I, I', J, J' \in \binom{V(G) \times V(H)}{\leq t}$ then $\langle v_I, v_J \rangle = \langle v_{I'}, v_{J'} \rangle$.

Hence, y_I for $I \in \binom{V(G) \times V(H)}{\leq 2t}$ can be set to $\langle v_{I'}, v_{I''} \rangle$ for any $I', I'' \in \binom{V(G) \times V(H)}{\leq t}$ such that $I = I' \cup I''$. Then Equations (4) to (6) holds by construction. In fact, it follows that Equations (19) and (20) below, which imply Equations (5) and (6), hold:

$$\sum_{h \in V(H)} y_{I \cup \{gh\}} = y_I \qquad \text{for all } I \in \binom{V(G) \times V(H)}{\leq 2t-1} \text{ and all } g \in V(G), \qquad (19)$$

$$\sum_{g \in V(G)} y_{I \cup \{gh\}} = y_I \qquad \text{for all } I \in \binom{V(G) \times V(H)}{\leq 2t-1} \text{ and all } h \in V(H).$$
(20)

Equation (7) follows from Equation (11).

The following Theorem 3.12 is proven analogously, observing that the construction in the proof of Theorem 3.8 preserves non-negativity in both directions.

THEOREM 3.12. Let $t \ge 1$. Two graphs *G* and *H* are level-t \mathcal{DNN} -isomorphic if and only if $G \simeq_t^{L^+} H$.

3.4 Isomorphisms between Matrix Algebras

To the two reformulations of \simeq_t^{L} and $\simeq_t^{L^+}$ from the previous sections, a third characterisation is added in this section. It is shown that two graphs are level- $t \mathcal{PSD}$ -isomorphic (\mathcal{DNN} isomorphic) if and only if certain matrix algebras associated to them are isomorphic. These algebras will be identified as the algebras of homomorphism tensors for graphs from the families \mathcal{L}_t and \mathcal{L}_t^+ . The so-called (partially) coherent algebras considered in this section are natural generalisations of the coherent algebras which are well-studied in the context of the 2-dimensional Weisfeiler–Leman algorithm [8].

3.4.1 Partially Coherent Algebras and *PSD*-Isomorphism Maps

Let $S \subseteq \mathbb{C}^{n^t \times n^t}$. A matrix algebra $\mathcal{A} \subseteq \mathbb{C}^{n^t \times n^t}$ is *S*-partially coherent if it is unital, self-adjoint, contains the all-ones matrix, and is closed under Schur products with any matrix in *S*. A matrix

algebra $\mathcal{A} \subseteq \mathbb{C}^{n^t \times n^t}$ is *self-symmetrical* if for every $A \in \mathcal{A}$ and $\sigma \in \mathfrak{S}_{2t}$ also $A^{\sigma} \in \mathcal{A}$. Note that for t = 1, an algebra \mathcal{A} is self-symmetrical if for all $A \in \mathcal{A}$ also $A^T \in \mathcal{A}$ where A^T is the transpose of A.

DEFINITION 3.13. Given a graph *G*, define its *t*-partially coherent algebra $\widehat{\mathcal{A}}_{G}^{t}$ as the minimal self-symmetrical *S*-partially coherent algebra where *S* is the set of homomorphism tensors of (t, t)-bilabelled atomic graphs for *G*.

Two *n*-vertex graphs *G* and *H* are *partially t-equivalent* if there is a *partial t-equivalence*, i.e. a vector space isomorphism $\varphi \colon \widehat{\mathcal{A}}_{G}^{t} \to \widehat{\mathcal{A}}_{H}^{t}$ such that

- 1. $\varphi(M^*) = \varphi(M)^*$ for all $M \in \widehat{\mathcal{A}}_G^t$,
- 2. $\varphi(MN) = \varphi(M)\varphi(N)$ for all $M, N \in \widehat{\mathcal{A}}_{G}^{t}$,
- 3. $\varphi(I) = I$, $\varphi(\mathbf{A}_G) = \mathbf{A}_H$ for all $\mathbf{A} \in \mathcal{R}_t$, and $\varphi(J) = J$,
- 4. $\varphi(\mathbf{A}_G \odot M) = \mathbf{A}_H \odot \varphi(M)$ for all $\mathbf{A} \in \mathcal{R}_t$ and any $M \in \widehat{\mathcal{R}}_G^t$.
- 5. $\varphi(M^{\sigma}) = \varphi(M)^{\sigma}$ for all $M \in \widehat{\mathcal{A}}_{G}^{t}$ and all $\sigma \in \mathfrak{S}_{2t}$.

The following Theorem 3.14 extends [27, Theorem 6.2].

THEOREM 3.14. Let $t \ge 1$. Two graphs G and H are partially t-equivalent if and only if there is a level-t \mathcal{PSD} -isomorphism map from G to H.

PROOF. Let $\Phi: \mathbb{C}^{V(G)^t \times V(G)^t} \to \mathbb{C}^{V(H)^t \times V(H)^t}$ be a level-*t* \mathcal{PSD} -isomorphism map from *G* to *H*, i.e. it satisfies Equations (13) to (16). By Remark 3.7 and Equations (14) and (15), $\Phi(A_G) = A_H$ for all atomic $A \in \mathcal{A}_t$ and |V(G)| = |V(H)| =: n. Similarly, $\Phi^*(A_H) = A_G$ for all atomic *A* by Theorem 3.6. By Equations (13) and (14), Φ is completely positive and unital. By Theorem 3.6, $\Phi^*(I) = I$ and thus Φ is trace-preserving [27, Lemma 4.2]. Furthermore,

$$\Phi(\boldsymbol{A}_G) = \boldsymbol{A}_H, \quad \Phi^*(\boldsymbol{A}_H) = \boldsymbol{A}_G, \quad \Phi(J) = J = \Phi^*(J).$$

for all atomic $A \in \mathcal{A}_t$. Thus, Lemma 2.2 implies that for any $W \in \mathbb{C}^{V(G)^t \times V(G)^t}$ we have $\Phi(A_G W) = A_H \Phi(W)$ and $\Phi(WA_G) = \Phi(W)A_H$ for all atomic $A \in \mathcal{A}_t$. Hence, the restriction of Φ to $\widehat{\mathcal{A}}_G^t$ witnesses that G and H are partially *t*-equivalent.

Conversely, suppose that $\varphi : \widehat{\mathcal{A}}_{G}^{t} \to \widehat{\mathcal{A}}_{H}^{t}$ is as in Definition 3.13. By [27, Lemma 5.3], φ is trace-preserving. By Lemma 2.3, there exists a unitary matrix $U \in \mathbb{C}^{n^{t} \times n^{t}}$ such that $\varphi(X) = UXU^{*}$ for all $X \in \widehat{\mathcal{A}}_{G}^{t}$. Let $\widehat{\varphi} : \mathbb{C}^{V(G)^{t} \times V(G)^{t}} \to \mathbb{C}^{V(H)^{t} \times V(H)^{t}}$ be the map given by $\widehat{\varphi}(X) = UXU^{*}$. Let $\Pi : \mathbb{C}^{V(G)^{t} \times V(G)^{t}} \to \widehat{\mathcal{A}}_{G}^{t}$ be the orthogonal projection onto $\widehat{\mathcal{A}}_{G}^{t}$. Define $\Phi : \mathbb{C}^{V(G)^{t} \times V(G)^{t}} \to \mathbb{C}^{V(H)^{t} \times V(H)^{t}}$ by $\Phi := \widehat{\varphi} \circ \Pi$. By [27, Lemma 5.3], $\widehat{\varphi}$ is completely positive and trace-preserving. By [27, Lemma 5.4], so is Π and hence their composition Φ . Hence, Equation (13) holds.

Furthermore, $\Pi(J) = J$ and hence $\Phi(J) = J = \Phi^*(J)$. So Φ satisfies Equation (15).

For Equation (16), consider the linear map $\Lambda_{\sigma} \colon X \mapsto X^{\sigma}$ for $\sigma \in \mathfrak{S}_{2t}$. Since $\widehat{\mathcal{A}}_{G}$ is closed under the action of \mathfrak{S}_{2t} , it holds that $\Lambda_{\sigma} \circ \Pi = \Pi \circ \Lambda_{\sigma} \circ \Pi$. Furthermore, $(\Lambda_{\sigma})^* = \Lambda_{\sigma^{-1}}$ and Π is



self-adjoint, i.e. $\Pi^* = \Pi$. Hence,

$$\Pi \circ \Lambda_{\sigma} = \Pi^* \circ \Lambda_{\sigma} = (\Lambda_{\sigma^{-1}} \circ \Pi)^* = (\Pi \circ \Lambda_{\sigma^{-1}} \circ \Pi)^* = \Pi \circ \Lambda_{\sigma} \circ \Pi = \Lambda_{\sigma} \circ \Pi.$$

So Π and Λ_{σ} commute. Hence,

$$\Phi(X^{\sigma}) = (\widehat{\varphi} \circ \Pi \circ \Lambda_{\sigma})(X) = (\widehat{\varphi} \circ \Lambda_{\sigma} \circ \Pi)(X) = ((\widehat{\varphi} \circ \Pi)(X))^{\sigma} = \Phi(X)^{\sigma}.$$

Equation (14) follows similarly, cf. the proof of [27, Theorem 6.2].

3.4.2 Coherent Algebras and DNN-Isomorphism Maps

A matrix algebra $\mathcal{A} \subseteq \mathbb{C}^{n^t \times n^t}$ is *coherent* if it is unital, self-adjoint, contains the all-ones matrix and is closed under Schur products.

For t = 1, the 1-adjacency algebra as defined below is equal to the well-studied *adjacency algebra* of a graph *G*, cf. [8]. The latter is the smallest coherent algebra containing the adjacency matrix of the graph. The former is generated by the homomorphism tensors of (1, 1)-bilabelled atomic graphs. These graphs are depicted in Figure 4. Their homomorphism tensors are the all-ones matrix, the adjacency matrix of the graph, and the identity matrix.

DEFINITION 3.15. Let $t \ge 1$. The *t*-adjacency algebra \mathcal{A}_G^t of a graph *G* is the self-symmetrical coherent algebra generated by the homomorphism tensors of the atomic graphs \mathcal{A}_t .

Two *n*-vertex graphs *G* and *H* are *t*-equivalent if there is *t*-equivalence, i.e. a vector space isomorphism $\varphi \colon \mathcal{A}_G^t \to \mathcal{A}_H^t$ such that

- 1. $\varphi(M^*) = \varphi(M)^*$ for all $M \in \mathcal{A}_G^t$,
- 2. $\varphi(MN) = \varphi(M)\varphi(N)$ for all $M, N \in \mathcal{A}_G^t$,
- 3. $\varphi(I) = I$, $\varphi(A_G) = A_H$ for all $A \in \mathcal{A}_t$, and $\varphi(J) = J$,
- 4. $\varphi(M \odot N) = \varphi(M) \odot \varphi(N)$ for all $M, N \in \mathcal{A}_G^t$.
- 5. $\varphi(M^{\sigma}) = \varphi(M)^{\sigma}$ for all $M \in \mathcal{A}_{G}^{t}$ and all $\sigma \in \mathfrak{S}_{2t}$.

The following Theorem 3.16 extends [27, Theorem 7.3].

THEOREM 3.16. Let $t \ge 1$. Two graphs G and H are t-equivalent if and only if there is a level-t \mathcal{DNN} -isomorphism map from G to H.

PROOF. Let Φ : $\mathbb{C}^{V(G)^t \times V(G)^t} \to \mathbb{C}^{V(H)^t \times V(H)^t}$ be a level-*t* \mathcal{DNN} -isomorphism map. Let φ be the restriction of Φ to \mathcal{R}^t_G . Given the arguments in the proof of Theorem 3.14, it suffices to show

that $\varphi(M \odot N) = \varphi(M) \odot \varphi(N)$ for all $M, N \in \mathcal{A}_G^t$ and that $\varphi^*(M \odot N) = \varphi^*(M) \odot \varphi^*(N)$ for all $M, N \in \mathcal{A}_H^t$. This follows from [27, Lemma 7.2].

Conversely, suppose that $\varphi \colon \mathcal{A}_G^t \to \mathcal{A}_H^t$ is as in Definition 3.15. It follows as in [27, Lemma 5.3] that φ is trace-preserving. By Lemma 2.3, there exists a unitary matrix $U \in \mathbb{C}^{n^t \times n^t}$ such that $\varphi(X) = UXU^*$ for all $X \in \mathcal{A}_G^t$. Let $\widehat{\varphi} \colon \mathbb{C}^{V(G)^t \times V(G)^t} \to \mathbb{C}^{V(H)^t \times V(H)^t}$ be the map given by $\widehat{\varphi}(X) = UXU^*$. Let $\Pi \colon \mathbb{C}^{V(G)^t \times V(G)^t} \to \mathcal{A}_G^t$ be the orthogonal projection onto \mathcal{A}_G^t . Define $\Phi \colon \mathbb{C}^{V(G)^t \times V(G)^t} \to \mathbb{C}^{V(H)^t \times V(H)^t}$ by $\Phi \coloneqq \widehat{\varphi} \circ \Pi$. Given Theorem 3.14, it suffices to argue that the Choi matrix of Φ is entry-wise non-negative. This can be done as in the proof of [27, Theorem 7.3].

4. Homomorphism Indistinguishability

Using techniques from [18], we finally establish a characterisation of when the level-*t* Lasserre relaxation of ISO(G, H) is feasible in terms of homomorphism indistinguishability of *G* and *H*. In order to do so, we introduce the graph classes \mathcal{L}_t and \mathcal{L}_t^+ . In Sections 4.1 and 4.3, we relate \mathcal{L}_t and \mathcal{L}_t^+ to the classes of graphs of bounded treewidth and pathwidth obtaining the results depicted in Figure 1. In Section 4.4, \mathcal{L}_1 and \mathcal{L}_1^+ are identified as the classes of outerplanar graphs and graphs of treewidth two, respectively.

DEFINITION 4.1. Let $t \ge 1$. Write \mathcal{L}_t^+ for the class of (t, t)-bilabelled graphs generated by the set of atomic graphs \mathcal{A}_t under parallel composition, series composition, and the action of \mathfrak{S}_{2t} on the labels.

Write $\mathcal{L}_t \subseteq \mathcal{L}_t^+$ for the class of (t, t)-bilabelled graphs generated by the set of atomic graphs \mathcal{A}_t under parallel composition with graphs from \mathcal{A}_t , series composition, and the action of \mathfrak{S}_{2t} on the labels.

Note that the only difference between \mathcal{L}_t and \mathcal{L}_t^+ is that \mathcal{L}_t is closed under parallel composition with atomic graphs only. This reflects an observation by [18] relating the closure under arbitrary gluing products to non-negative solutions to systems of equations characterising homomorphism indistinguishability. Intuitively, one may use arbitrary Schur products, the algebraic counterparts of gluing, for a Vandermonde interpolation argument, cf. [19, Corollary 4.3].

The following Observation 4.2 illustrates how the operations in Definition 4.1 can be used to generate more complicated graphs from the atomic graphs, cf. Figure 5.

OBSERVATION 4.2. Let $t \ge 1$. The class \mathcal{L}_t contains a bilabelled graph whose underlying unlabelled graph is isomorphic to the 3t-clique K_{3t} .

PROOF. Let $E := \bigoplus_{1 \le i < j \le 2t} A^{ij} \in \mathcal{A}_t$. The graph underlying $E \odot (E \cdot E)$ is isomorphic to K_{3t} .

The only missing implications of Theorems 3.1 and 3.2 follow from the next two theorems:



Figure 5. The bilabelled graphs in Observation 4.2 for t = 2.

THEOREM 4.3. Let $t \ge 1$. Two graphs G and H are homomorphism indistinguishable over \mathcal{L}_t if and only if they are partially t-equivalent.

THEOREM 4.4. Let $t \ge 1$. Two graphs G and H are homomorphism indistinguishable over \mathcal{L}_t^+ if and only if they are t-equivalent.

For the proofs of Theorems 4.3 and 4.4, we extend the framework developed by [18]. In this work, the authors introduced tools for constructing systems of equations characterising homomorphism indistinguishably over classes of labelled graphs. A requirement of these tools is that the graph class in question is *inner-product compatible* [18, Definition 24]. This means that for every two labelled graphs R and S one can write the inner-product of their homomorphism vectors R_G and S_G as the sum-of-entries of some T_G where T is labelled graph from the class. Due to the correspondence between combinatorial operations on labelled graphs and algebraic operations on their homomorphism vectors, cf. Section 2.2, this is equivalent to the graph theoretic assumption that $soe(R \odot S) = soe(T)$, i.e. the unlabelled graph obtained by unlabelling the gluing product of R and S can be labelled such that the resulting labelled graph is in the class.

We extend this notion to bilabelled graphs. A class of (t, t)-bilabelled graphs S is said to be inner-product compatible if for all $\mathbf{R}, \mathbf{S} \in S$ there is a graph $\mathbf{T} \in S$ such that $\operatorname{tr}(\mathbf{R} \cdot \mathbf{S}^*) = \operatorname{soe}(\mathbf{T})$. This definition is inspired by the inner-product on $\mathbb{C}^{n \times n}$ given by $\langle A, B \rangle := \operatorname{tr}(AB^*)$.

LEMMA 4.5. Let $t \ge 1$. The classes \mathcal{L}_t and \mathcal{L}_t^+ are inner-product compatible.

PROOF. Since \mathcal{L}_t is closed under matrix products and taking transposes, it suffices to show that for every $S \in \mathcal{L}_t$ the graph $\operatorname{tr}(S)$ is the underlying unlabelled graph of some element of \mathcal{L}_t . Indeed, for every (t, t)-bilabelled graphs F it holds that $\operatorname{tr}(F) = \operatorname{soe}(I^{1,t+1} \odot \cdots \odot I^{t,2t} \odot F)$ where the I^{ij} are as in Definition 3.5. Since \mathcal{L}_t is closed under parallel composition with atomic graphs, the claim follows. For \mathcal{L}_t^+ , an analogous argument yields the claim.

The following Theorem 4.6, which extends the toolkit for constructing systems of equations characterising homomorphism indistinguishability over families of bilabelled graphs, is the

bilabelled analogue of [18, Theorem 13]. Write $\mathbb{C}S_G \subseteq \mathbb{C}^{V(G)^t \times V(G)^t}$ for the vector space spanned by homomorphism tensors S_G for $S \in S$.

THEOREM 4.6. Let $t \ge 1$ and S be an inner-product compatible class of (t, t)-bilabelled graphs containing J. For graphs G and H, the following are equivalent:

- 1. G and H are homomorphism indistinguishable over S,
- 2. there exists a sum-preserving vector space isomorphism $\varphi \colon \mathbb{C}S_G \to \mathbb{C}S_H$ such that $\varphi(\mathbf{S}_G) = \mathbf{S}_H$ for all $\mathbf{S} \in S$.

PROOF. For the forward direction, observe that for all $\mathbf{R}, \mathbf{S} \in S$ it holds that $\langle \mathbf{R}_G, \mathbf{S}_G \rangle = \text{tr}(\mathbf{R}_G \mathbf{S}_G^*) = \langle \mathbf{R}_H, \mathbf{S}_H \rangle$ by inner-product compatibility. Hence, by a Gram–Schmidt argument [19, Lemma 2.1], there exists a unitary map such that $\varphi(\mathbf{S}_G) = \mathbf{S}_H$ for all $\mathbf{S} \in S$. Since $\varphi(\mathbf{J}_G) = \mathbf{J}_H$ and φ is unitary, it is sum-preserving by Remark 3.7. Conversely, let φ be as stipulated. For every $\mathbf{S} \in S$, it holds that $\text{soe}(\mathbf{S}_H) = \text{soe}(\varphi(\mathbf{S}_G)) = \text{soe}(\mathbf{S}_G)$ since φ is sum-preserving.

This completes the preparations for the proof of Theorems 4.3 and 4.4.

PROOF OF THEOREMS 4.3 AND 4.4. By comparing the operations from Definitions 4.1 and 3.13, it follows that $\mathbb{C}S_G = \widehat{\mathcal{A}}_G^t$ for $S = \mathcal{L}_t$. By Lemma 4.5 and Theorem 4.6, G and H are homomorphism indistinguishable over \mathcal{L}_t if and only if there is a sum-preserving vector space isomorphism $\varphi : \widehat{\mathcal{A}}_G^t \to \widehat{\mathcal{A}}_H^t$ satisfying $\varphi(\mathbf{S}_G) = \mathbf{S}_H$ for all $\mathbf{S} \in \mathcal{L}_t$.

For all atomic $\mathbf{A} \in \mathcal{A}_t$, it holds that $\varphi(\mathbf{A}_G) = \mathbf{A}_H$. Furthermore, since \mathcal{L}_t is closed under the action of \mathfrak{S}_{2t} , $\varphi(\mathbf{S}_G^{\sigma}) = \varphi((\mathbf{S}^{\sigma})_G) = (\mathbf{S}^{\sigma})_H = \mathbf{S}_H^{\sigma}$ for all $\sigma \in \mathfrak{S}_{2t}$. Finally, for all $\mathbf{S}, \mathbf{T} \in \mathcal{L}_t$ it holds that $\varphi(\mathbf{S}_G \cdot \mathbf{T}_G) = \varphi((\mathbf{S} \cdot \mathbf{T})_G) = \mathbf{S}_H \cdot \mathbf{T}_H$ and $\varphi(\mathbf{S}_G \odot \mathbf{T}_G) = \varphi((\mathbf{S} \odot \mathbf{T})_G) = \mathbf{S}_H \odot \mathbf{T}_H$. The homomorphism matrices \mathbf{S}_G for $\mathbf{S} \in \mathcal{L}_t$ span $\mathbb{C}\mathcal{S}_G = \widehat{\mathcal{A}}_G^t$. Hence, φ is a partial *t*-equivalence.

Conversely, every partial *t*-equivalence $\varphi \colon \widehat{\mathcal{A}}_{G}^{t} \to \widehat{\mathcal{A}}_{H}^{t}$ is such that $\varphi(\mathbf{S}_{G}) = \mathbf{S}_{H}$ for all $\mathbf{S} \in \mathcal{L}_{t}$ by definition of \mathcal{L}_{t} . With slight modifications, **[27, Lemma 5.3]** yields that φ is trace-preserving, which implies with $\varphi(J) = J$ that φ is sum-preserving. The proof of Theorem 4.4 is analogous.

4.1 The Classes \mathcal{L}_t and \mathcal{L}_t^+ and Graphs of Bounded Treewidth

In this section, the classes \mathcal{L}_t and \mathcal{L}_t^+ are compared to the classes of graphs of bounded treewidth and pathwidth. Figure 1 depicts the relationships between these classes. The first result, Lemma 4.7, gives an upper bound on the treewidth of graphs in \mathcal{L}_t^+ .

LEMMA 4.7. Let $t \ge 1$. The treewidth of an unlabelled graph F underlying some $F = (F, u, v) \in \mathcal{L}_t^+$ is at most 3t - 1.

PROOF. By structural induction, it is shown that every $F = (F, u, v) \in \mathcal{L}_t^+$ admits a tree decomposition $\beta \colon V(T) \to 2^{V(F)}$ of width at most 3t - 1 such that the labelled vertices u and v lie together in one bag, i.e. there exists $x \in V(T)$ such that $\{u_1, \ldots, u_t, v_1, \ldots, v_t\} \subseteq \beta(x)$.

In the base case, i.e. if $F \in \mathcal{A}_t$, then F has at most 2t vertices, which can all be placed in the single bag of a tree decomposition over the singleton tree.

For the inductive step, let $\mathbf{F} = (F, \mathbf{u}, \mathbf{v})$ and $\mathbf{F}' = (F', \mathbf{u}', \mathbf{v}')$ from \mathcal{L}_t^+ be given. Suppose there are tree decompositions $\beta \colon V(T) \to 2^{V(F)}$ and $\beta' \colon V(T') \to 2^{V(F')}$ as in the inductive hypothesis. Let $x \in V(T)$ and $x' \in V(T')$ be such that the labelled vertices of \mathbf{F} and \mathbf{F}' lie in $\beta(x)$ and $\beta'(x')$ respectively. Let S be the tree obtained by taking the disjoint union of T, T', and a fresh vertex y, and connecting x and x' to y.

For the graph $F \cdot F'$, an S-decomposition is given by the function

$$\gamma \colon z \mapsto \begin{cases} \beta(z), & \text{if } z \in V(T), \\ \beta'(z), & \text{if } z \in V(T'), \\ \{\boldsymbol{u}_1, \dots, \boldsymbol{u}_t, \boldsymbol{v}_1', \dots, \boldsymbol{v}_t', \boldsymbol{v}_1, \dots, \boldsymbol{v}_t\}, & \text{if } z = y. \end{cases}$$

where one may note that $v_i = u'_i$ for every $i \in [t]$ in $F \cdot F'$. It is easy to check that Definition 2.5 is satisfied. The decomposition is of width 3t - 1.

For the graph $F \odot F'$, an S-decomposition is given by the function

$$\gamma \colon z \mapsto \begin{cases} \beta(z), & \text{if } z \in V(T), \\ \beta'(z), & \text{if } z \in V(T'), \\ \{\boldsymbol{u}_1, \dots, \boldsymbol{u}_t, \boldsymbol{v}_1, \dots, \boldsymbol{v}_t\}, & \text{if } z = y. \end{cases}$$

where one may note that $u_i = u'_i$ and $v_i = v'_i$ for every $i \in [t]$ in $F \odot F'$. Again, it is easy to check that Definition 2.5 is satisfied. The decomposition is of width at most 3t - 1.

Lemma 4.7 in conjunction with Theorems 3.1 and 3.2 implies Theorems 1.2 and 1.3. As a corollary, this yields the upper bound in Theorem 1.1. Indeed, by Theorem 2.8, $G \simeq_t^{SA} H$ if and only if *G* and *H* are homomorphism indistinguishable over the class of graphs of treewidth at most t - 1. Hence, if $G \simeq_{3t}^{SA} H$ then $G \simeq_t^{L^+} H$ and in particular $G \simeq_t^{L} H$.

It remains to show the lower bound asserted by Theorem 1.1, i.e. that 3t cannot be replaced by 3t - 1 for no $t \ge 1$. To that end, first observe that Observation 4.2 implies that the bound in Lemma 4.7 is tight. However, this syntactic property of the graph class \mathcal{L}_t does not suffice to derive the aforementioned semantic property of \simeq_t^{SA} and \simeq_t^{L} . In fact, it could well be that for all graphs G and H if G and H are homomorphism indistinguishable over the graphs of treewidth at most 3t - 2 also hom $(K_{3t}, G) = \text{hom}(K_{3t}, H)$ despite that tw $K_{3t} > 3t - 2$. That this does not hold is implied by a conjecture of the first author [32] which asserts that every minor-closed graph class \mathcal{F} which is closed under taking disjoint unions (*union-closed*) is *homomorphism distinguishing closed*, i.e. for all $F \notin \mathcal{F}$ there exist graphs G and H such that $G \equiv_{\mathcal{F}} H$ but hom $(F, G) \neq \text{hom}(F, H)$. Although being generally open, this conjecture was proven by Neuen [29] for the class of graphs of treewidth at most t for every t. Theorem 4.8 implies the last assertion of Theorem 1.1. **THEOREM 4.8.** For every $t \ge 1$, there exist graphs G and H such that $G \simeq_{3t-1}^{SA} H$ and $G \not\cong_t^{L} H$.

PROOF. Since $tw(K_{3t}) = 3t - 1$, there exist, by [29, Theorem 2], two graphs *G* and *H* such that $G \equiv_{\mathcal{TW}_{3t-2}} H$ and $hom(K_{3t}, G) \neq hom(K_{3t}, H)$. By Theorem 2.8, $G \simeq_{3t-1}^{SA} H$. By Observation 4.2 and Theorem 3.1, $G \neq_t^{L} H$.

4.2 Bilabelled Minors

It is worth noting that the classes of unlabelled graphs underlying the elements of \mathcal{L}_t and \mathcal{L}_t^+ are themselves minor-closed and union-closed. Hence, they are subject to the aforementioned conjecture. Furthermore, by the Robertson–Seymour Theorem and [34], membership in \mathcal{L}_t and \mathcal{L}_t^+ can be tested in polynomial time for every fixed $t \ge 1$.

LEMMA 4.9. Let $t \ge 1$. The class of graphs underlying the elements of \mathcal{L}_t and the class of graphs underlying the elements of \mathcal{L}_t^+ are minor-closed and union-closed.

In order to proof Lemma 4.9, we introduce bilabelled analogues of graph minors. The tools developed here will also be used in Section 4.4.

DEFINITION 4.10. Let *M* and *F* be (ℓ, k) -bilabelled graphs for some $k, \ell \in \mathbb{N}$. Then *M* is a *bilabelled minor* of *F*, in symbols $M \leq F$, if it can be obtained from *F* by applying a sequence of the following *bilabelled minor operations*:

- 1. edge contraction,
- 2. edge deletion,
- 3. deletion of unlabelled vertices,

A family of bilabelled graphs \mathcal{F} is *minor-closed* if it is closed under taking bilabelled minors.

Note that for (0, 0)-bilabelled graphs, i.e. unlabelled graphs, Definition 4.10 and the standard definition of graph minors coincide.

EXAMPLE 4.11. Let $t \ge 1$. The class of atomic graphs \mathcal{A}_t as defined in Definition 3.5 is minor-closed.

We proceed to prove various lemmas characterising how bilabelled minors behave under the operations applied to bilabelled graphs, namely labelling and unlabelling and series and parallel composition.

LEMMA 4.12 (Minor Unlabelling Lemma). Let $M \leq F$ be bilabelled. Then $soe(M) \leq soe(F)$.

PROOF. It is argued by induction on the number of bilabelled minor operations necessary to transform F into M. If M = F then soe(M) = soe(F), and the claim follows. Suppose that $M \le M' \le F$ where M' can be transformed into M by applying a single minor operation and

M' is minimal among all such graphs with respect to the number of minor operations necessary to derive it from F. By the inductive hypothesis, $soe(M') \leq soe(F)$. Since bilabelled minor operations are more restrictive than minor operations, any operation of Definition 4.10 carried out on M' can be applied to soe(M'). It follows that $soe(M) \leq soe(F)$.

LEMMA 4.13 (Minor Labelling Lemma). Let F be bilabelled and M be unlabelled. If $M \le \text{soe}(F)$ then there exists $M \le F$ such that soe(M) is the disjoint union of M and potential isolated vertices which are labelled in M.

PROOF. It is argued by induction on the number of minor operations needed to transform $\operatorname{soe}(F)$ into M. If $M = \operatorname{soe}(F)$, let $M \coloneqq F$. Now suppose there are $M \leq M' \leq \operatorname{soe}(F)$ such that M' can be transformed into M by applying a single minor operation. Then there exists $M' \leq F$ such that $\operatorname{soe}(M')$ is the disjoint union of M' and potential isolated vertices. Distinguish cases:

- *M* is obtained from *M'* by deleting or contracting an edge *e*. Then *e* has a counterpart in *M'* since soe(*M'*) contains *M'*. Contracting/deleting the edge there yields the desired *M*.
- *M* is obtained from *M'* by deleting a vertex *v*. If *v* is unlabelled in *M'* then it can be deleted from *M'* yielding *M*. If *v* is labelled in *M'*, remove all edges incident to *v* and let *M* be the resulting graph. In this case, soe(*M*) is the disjoint union of *M* and an isolated vertex.

Intuitively, the following Lemmas 4.14 and 4.15 assert that minor operations commute with bilabelled graph multiplication.

LEMMA 4.14 (Minor Parallel Composition Lemma). Let P_1 and P_2 be (k, ℓ) -bilabelled graphs.

- 1. If M_1 is a minor of P_1 and M_2 is a minor of P_2 then $M_1 \odot M_2$ is a minor of $P_1 \odot P_2$.
- 2. If **K** is a minor of $P_1 \odot P_2$ then there exist (k, ℓ) -bilabelled M_1 and M_2 such that $K = M_1 \odot M_2$, M_1 is a minor of P_1 , and M_2 is a minor of P_2 .

PROOF. For the first claim, it is argued by induction on the sum of the number of minor operations applied to transform P_1 into M_1 and P_2 into M_2 . For the base case, $M_1 = P_1$ and $M_2 = P_2$, and the claim follows trivially.

Now suppose that M_1 is obtained from M'_1 , a minor of P_1 , by applying a single minor operation. Suppose inductively that $M'_1 \odot M_2$ is a minor of $P_1 \odot P_2$. Distinguish cases:

- M_1 is obtained from M'_1 by contracting an edge e. In $M'_1 \odot M_2$, this edge is either a loop or a proper edge. In the former case, it can be deleted, in the latter case, it can be contracted, yielding in both cases $M_1 \odot M_2$.
- M_1 is obtained from M'_1 by deleting an edge e. In $M'_1 \odot M_2$, this edge is either a loop or a proper edge. In both cases, it can be deleted yielding $M_1 \odot M_2$.
- M_1 is obtained from M'_1 by deleting an unlabelled vertex v. Then v is unlabelled in $M'_1 \odot M_2$ and can be deleted. The resulting graph is $M_1 \odot M_2$.

For the second claim, it is argued by induction on the number of minor operations necessary to transform $P_1 \odot P_2$ into K. For the base case, if $K = P_1 \odot P_2$, let $M \coloneqq K$, $M_1 \coloneqq P_1$, and $M_2 \coloneqq P_2$.

Now suppose that **K** is a minor of $P_1 \odot P_2$. Then there exists a (k, ℓ) -bilabelled graph **K**' such that **K**' is a minor of $P_1 \odot P_2$ and **K** is obtained from **K**' by applying a single minor operation. By the induction hypothesis, there exist M'_1 and M'_2 such that the assertions of this lemma are satisfied. Distinguish cases:

- K is obtained from K' by deleting or contracting an edge e.
 - The edge e may lie in both M'_1 and M'_2 or in only one of the two graphs. In either case, construct M_1 and M_2 by respectively deleting or contracting the edge in M'_1 and M'_2 or leaving the graph unchanged if it does not contain the edge.
- *K* is obtained from *K'* by deleting an unlabelled vertex *v*. Since no vertex is unlabelled under parallel composition, the vertex *v* is also unlabelled in the graph M'_1 or M'_2 which it contains. It follows that *v* can be deleted from M'_i leaving the other graph untouched. This yields M_1 and M_2 .

LEMMA 4.15 (Minor Series Composition Lemma). Let P_1 be (k, ℓ) -bilabelled and P_2 be (ℓ, j) -bilabelled.

- 1. If M_1 is a minor of P_1 and M_2 is a minor of P_2 then $M_1 \cdot M_2$ is a minor of $P_1 \cdot P_2$.
- 2. If **K** is a minor of $P_1 \cdot P_2$ then there exists a (k, j)-bilabelled **M**, a (k, ℓ) -bilabelled **M**₁, and a (ℓ, j) -bilabelled **M**₂ such that
 - a. **M** is the disjoint union of **K** and potential isolated unlabelled vertices, which are labelled in M_1 and M_2 ,
 - b. $\boldsymbol{M} = \boldsymbol{M}_1 \cdot \boldsymbol{M}_2$, and
 - c. M_1 is a minor of P_1 and M_2 is a minor of P_2 .

PROOF. The proof of the first claim is analogous to the proof of the first claim of Lemma 4.14. For the second claim, it is argued by induction on the number of minor operations necessary

to transform $P_1 \cdot P_2$ into K. For the base case, if $K = P_1 \cdot P_2$, let M := K, $M_1 := P_1$, and $M_2 := P_2$.

Now suppose that K is a minor of $P_1 \cdot P_2$. Then there exists a (k, j)-bilabelled graph K' such that K' is a minor of $P_1 \cdot P_2$ and K is obtained from K' by applying a single minor operation. By the induction hypothesis, there exist M', M'_1 , and M'_2 such that Items a to c are satisfied. Distinguish cases:

— K is obtained from K' by deleting or contracting an edge e.

Define M by deleting/contracting the same edge in M'. The edge e may lie in both M'_1 and M'_2 or only in one of the two graphs. In the first case, both endpoints of e are labelled in both graphs. In either case, construct M_1 and M_2 by respectively deleting or contracting the edge in M'_1 and M'_2 or leaving the graph unchanged if it does not contain the edge.

— K is obtained from K' by deleting an unlabelled vertex v.

If v is among the unlabelled vertices of M'_i for $i \in \{1, 2\}$ then define M by deleting v from M'. It follows that v can be deleted from M'_i leaving the other graph untouched. This yields M_1 and M_2 .

If otherwise *v* is among the vertices at which M'_1 and M'_2 are glued together then define M as the graph obtained from M' by deleting all edges incident with *v* but keeping the vertex. By the inductive hypothesis, M' is the disjoint union of K' and isolated unlabelled vertices and via the aforementioned construction the same holds for M and K. Note that *v* is neither in-labelled in M'_1 nor out-labelled in M'_2 as it would otherwise be labelled in M. Delete all edges incident to *v* in both M'_1 and M'_2 . The resulting M_1 and M_2 satisfy $M = M_1 \cdot M_2$, as desired.

With these general facts at hand, we proceed to show the following about our graph classes \mathcal{L}_t and \mathcal{L}_t^+ :

LEMMA 4.16. Let $t \ge 1$. The classes \mathcal{L}_t and \mathcal{L}_t^+ are closed under taking bilabelled minors.

PROOF. By induction on the structure of elements $F \in \mathcal{L}_t$, it is proven that if $K \leq F$ then also $K \in \mathcal{L}_t$. For \mathcal{L}_t^+ , the proof is very similar, requiring fewer case distinctions. It is therefore omitted. If F is atomic then all its minors are atomic by Example 4.11. This constitutes the base case of the induction.

If $\mathbf{F} = \mathbf{F}_1 \odot \mathbf{F}_2$ for $\mathbf{F}_1 \in \mathcal{A}_t$, $\mathbf{F}_2 \in \mathcal{L}_t$ to which the inductive hypothesis applies, and $\mathbf{K} \leq \mathbf{F}$ then, by Lemma 4.14, there exist $\mathbf{K}_1 \leq \mathbf{F}_1$ and $\mathbf{K}_2 \leq \mathbf{F}_2$ such that $\mathbf{K} = \mathbf{K}_1 \odot \mathbf{K}_2$. By Example 4.11, \mathbf{K}_1 is atomic and, by the inductive hypothesis, $\mathbf{K}_2 \in \mathcal{L}_t$. Hence, $\mathbf{K} \in \mathcal{L}_t$.

If $F = F_1 \cdot F_2$ for two $F_1, F_2 \in \mathcal{L}_t$ to which the inductive hypothesis applies and $K \leq F$ then, by Lemma 4.15, there exist M, M_1, M_2 such that $M_1 \leq F_1, M_2 \leq F_2$, and $M = M_1 \cdot M_2$ is the disjoint union of K and potential isolated unlabelled vertices which are labelled both in M_1 and M_2 . By the inductive hypothesis, $M_1, M_2 \in \mathcal{L}_t$. It remains to remove these isolated vertices. Suppose that the *i*-th out-label of M_1 and the *i*-th in-label of M_2 are carried by an isolated vertex. Then graph $(I^{1,t+1+i} \odot M_1) \cdot M_2$ does not contain this isolated vertex since taking the parallel composition with $I^{1,t+1+i}$ as defined in Definition 3.5 amounts to gluing it to the first in-labelled vertex of M_1 . Observe that $I^{1,t+1+i} \odot M_1 \in \mathcal{L}_t$. Proceeding in this fashion, one can construct $K_1, K_2 \in \mathcal{L}_t$ such that $K = K_1 \cdot K_2 \in \mathcal{L}_t$, as desired.

If $\mathbf{F} = \mathbf{F}_1^{\sigma}$ for $\sigma \in \mathfrak{S}_{2t}$, $\mathbf{F}_1 \in \mathcal{L}_t$ to which the inductive hypothesis applies, and $\mathbf{K} \leq \mathbf{F}$ then $\mathbf{K}^{\sigma^{-1}} \leq \mathbf{F}_1$ and $\mathbf{K}^{\sigma^{-1}} \in \mathcal{L}_t$ by the inductive hypothesis. Hence, $\mathbf{K} \in \mathcal{L}_t$, as desired.

This concludes the preparations for the proof of Lemma 4.9.

PROOF OF LEMMA 4.9. For (t, t)-bilabelled graphs F and F' and $J \in \mathcal{A}_t$ as defined in Definition 3.5, the graph underlying $F \cdot J \cdot F'$ is isomorphic to the disjoint union of the graphs underlying F and F'. Hence, the classes of graphs underlying elements of \mathcal{L}_t and \mathcal{L}_t^+ are union-closed.

Given Lemma 4.16, it remains to observe that the classes of unlabelled graphs underlying the elements of \mathcal{L}_t and \mathcal{L}_t^+ are minor closed. By Lemma 4.13, if an unlabelled graph M is a minor of $\operatorname{soe}(F)$ for some $F \in \mathcal{L}_t$ then there exists $M \leq F$ such that $\operatorname{soe}(M)$ is the disjoint union of M and potential isolated vertices which are labelled in M. By Lemma 4.16, $M \in \mathcal{L}_t$. As in the proof of Lemma 4.16, the potential isolated vertices can be identified with other labelled in Mby taking the parallel composition of this graph with atomic graphs. Hence, it may be assumed that $M = \operatorname{soe}(M)$. This yields the claim.

4.3 Further Relations between \mathcal{TW}_t , \mathcal{PW}_t , \mathcal{L}_t , and \mathcal{L}_t^+

This subsection is dedicated to some further relations between the classes of graphs of bounded treewidth or pathwidth, \mathcal{L}_t , and \mathcal{L}_t^+ . These facts give independent proofs for the correspondence between the feasibility of the level-*t* Sherali–Adams relaxation (without non-negativity constraints), which corresponds to homomorphism indistinguishability over graphs of treewidth (pathwidth) at most t-1, as proven by [13, 18], and the feasibility of the level-*t* Lasserre relaxation with and without non-negativity constraints.

First of all, dropping the semidefiniteness constraint Equation (4) of the level-*t* Lasserre system of equations turns this system essentially into the level-2*t* Sherali–Adams system of equations without non-negativity constraints, e.g. as defined in [19, Section 2.7]. This is paralleled by Lemma 4.17.

LEMMA 4.17. Let $t \ge 1$. For every graph F with $pw F \le 2t - 1$, there is a graph $F \in \mathcal{L}_t$ whose underlying unlabelled graph is isomorphic to F.

PROOF. If $|V(F)| \le 2t$ then there exists an atomic graph $F \in \mathcal{A}_t$ whose underlying unlabelled graph is isomorphic to F. Otherwise, by Lemma 2.6, there exists a path decomposition $\beta : V(P) \rightarrow 2^{V(F)}$ such that $|\beta(v)| = 2t$ for all $v \in V(P)$ and $|\beta(s) \cap \beta(t)| = 2t - 1$ for all $st \in E(P)$.

It is shown by induction on |V(P)| that for every vertex $r \in V(P)$ of degree at most one there exist $\boldsymbol{u} = u_1 \dots u_t \in V(F)^t$, $\boldsymbol{v} = v_1 \dots v_t \in V(F)^t$ with $\beta(r) = \{u_1, \dots, u_t, v_1, \dots, v_t\}$ such that $\boldsymbol{F} = (F, \boldsymbol{u}, \boldsymbol{v}) \in \mathcal{L}_t$.

The inductive argument is very similar to the one in the proof of Lemma 4.18. Indeed, since the vertex r has at most one neighbour, $\ell \le 1$ in the proof of Lemma 4.18 and the construction does not require arbitrary parallel compositions.

Furthermore, one may drop Equation (4) from the level-*t* Lasserre system of equations with non-negativity constraints to obtain the level-2*t* Sherali–Adams system of equations in its original form, i.e. with non-negativity constraints. This is paralleled by Lemma 4.18.

LEMMA 4.18. Let $t \ge 1$. For every graph F with tw $F \le 2t - 1$, there is a graph $F \in \mathcal{L}_t^+$ whose underlying unlabelled graph is isomorphic to F.

PROOF. If $|V(F)| \leq 2t$ then there exists an atomic graph $F \in \mathcal{A}_t$ whose underlying unlabelled graph is isomorphic to F. Otherwise, by Lemma 2.6, there exists a tree decomposition $\beta \colon V(T) \to 2^{V(F)}$ of F such that $|\beta(v)| = 2t$ for all $v \in V(T)$ and $|\beta(s) \cap \beta(t)| = 2t - 1$ for all $st \in E(T)$. It is shown by induction on |V(T)| that for every $r \in V(T)$ there exist $u = u_1 \dots u_t \in V(F)^t$, $v = v_1 \dots v_t \in V(F)^t$ with $\beta(r) = \{u_1, \dots, u_t, v_1, \dots, v_t\}$ such that $F = (F, u, v) \in \mathcal{L}_t^+$. Observe that this implies that the labels of F lie on distinct vertices of F.

In the base case, when |V(T)| = 1, the tuples u and v can be chosen arbitrarily subject to the desired condition and F is an atomic graph.

Let $|V(T)| \ge 2$ and $r \in V(T)$ be arbitrary. Write s_1, \ldots, s_ℓ for the neighbours of r in T. First a bilabelled graph $F_i \in \mathcal{L}_t^+$ is constructed for each $i \in [\ell]$. Let T_i be the connected component of $T \setminus \{r\}$ containing s_i . Let F_i be the induced subgraph of F on $\bigcup_{t \in V(T_i)} \beta(t)$. The restriction of β to $V(T_i)$ is a tree decomposition of F_i with the properties stated in the inductive hypothesis. Hence, there exist $\mathbf{u}^i = u_1^i \ldots u_t^i \in V(F_i)^t$, $\mathbf{v}^i = v_1^i \ldots v_t^i \in V(F_i)^t$ with $\beta(s_i) = \{u_1^i, \ldots, u_t^i, v_1^i, \ldots, v_t^i\}$ such that $F_i := (F_i, \mathbf{u}^i, \mathbf{v}^i) \in \mathcal{L}_t^+$.

Let x_1, \ldots, x_{2t} denote the vertices in $\beta(r)$. By permuting labels, it can be guaranteed that for every $i \in [\ell]$, the tuples $u_1^i \ldots u_t^i v_1^i \ldots v_t^i$ and $x_1 \ldots x_{2t}$ differ at precisely one index $j_i \in [2t]$. Recall the bilabelled graphs defined in Definition 3.5 and \mathbf{K}^j from Equation (17) and Figure 3. Let $\mathbf{F}'_i \coloneqq \mathbf{K}^{j_i} \cdot \mathbf{F}_i$ if $j_i \leq t$ and $\mathbf{F}'_i \coloneqq \mathbf{F}_i \cdot \mathbf{K}^{j_i-t}$ otherwise. Intuitively, the bilabelled graph \mathbf{F}'_i is obtained from \mathbf{F}_i by adding a fresh vertex and moving the j_i -th label to this vertex. Since $\mathbf{F}_i \in \mathcal{L}^+_t$ and $\mathbf{K}^{j_i} \in \mathcal{A}_t$, it holds that $\mathbf{F}'_i \in \mathcal{L}^+_t$. Finally, let $\mathbf{F} = \mathbf{F}'_1 \odot \cdots \odot \mathbf{F}'_\ell \odot \bigodot_{x_i x_i \in E(F)} \mathbf{A}^{ij}$.

Since the diagonal entries of a positive semidefinite matrix are necessarily non-negative, Equation (4) implies that any solution (y_I) to the level-*t* Lasserre system of equations is such that $y_I \ge 0$ for all $I \in \binom{V(G) \times V(H)}{\leq t}$. Hence, such a solution is a solution to the level-*t* Sherali–Adams system of equations as well. This is paralleled by Lemma 4.19.

LEMMA 4.19. Let $t \ge 1$. For every graph F with tw $F \le t - 1$, there is a graph $F \in \mathcal{L}_t$ whose underlying unlabelled graph is isomorphic to F.

PROOF. If $|V(F)| \leq t$ then there exists an atomic graph $F \in \mathcal{A}_t$ whose underlying unlabelled graph is isomorphic to F. Otherwise, by Lemma 2.6, there exists a tree decomposition $\beta \colon V(T) \to 2^{V(F)}$ of F such that $|\beta(v)| = t$ for all $v \in V(T)$ and $|\beta(s) \cap \beta(t)| = t - 1$ for all $st \in E(T)$. It is shown by induction on |V(T)| that for every $r \in V(T)$ there exist $u = u_1 \dots u_t \in V(F)^t$ with $\beta(r) = \{u_1, \dots, u_t\}$ such that $F = (F, u, u) \in \mathcal{L}_t$.

In the base case, when |V(T)| = 1, the tuple *u* can be chosen arbitrarily and *F* is an atomic graph.

Let $|V(T)| \ge 2$ and $r \in V(T)$ be arbitrary. Write s_1, \ldots, s_ℓ for the neighbours of r in T. First a graph $F_i \in \mathcal{L}_t$ is constructed for each $i \in [\ell]$. Let T_i be the connected component of $T \setminus \{r\}$ containing s_i . Let F_i be the induced subgraph of F on $\bigcup_{t \in V(T_i)} \beta(t)$. The restriction of β to $V(T_i)$ is a tree decomposition of F_i with the properties listed in the inductive hypothesis. Hence, there exist $\mathbf{u}^i = u_1^i \dots u_t^i \in V(F_i)^t$ with $\beta(s_i) = \{u_1^i, \dots, u_t^i\}$ such that $F_i \coloneqq (F_i, \mathbf{u}^i, \mathbf{u}^i) \in \mathcal{L}_t$.

Let x_1, \ldots, x_t denote the vertices in $\beta(r)$. By permuting labels, it can be guaranteed that for every $i \in [\ell]$, the tuples $u_1^i \ldots u_t^i$ and $x_1 \ldots x_t$ differ at precisely one index $j_i \in [t]$. Recall the bilabelled graphs defined in Definition 3.5 and K_j from Equation (17) and Figure 3. Let $F'_i := I^{j_i,t+j_i} \odot (K_{j_i} \cdot F \cdot K_{j_i})$. By construction, $F'_i \in \mathcal{L}_t$. The labelled vertices of F_i differ from those of F_i in x_{j_i} . Finally, let

$$\boldsymbol{F} \coloneqq (\boldsymbol{I}^{1,t+1} \odot \cdots \odot \boldsymbol{I}^{t,2t}) \odot (\boldsymbol{F}'_1 \cdots \boldsymbol{F}'_\ell) \odot \bigotimes_{x_i x_j \in E(F)} \boldsymbol{A}^{ij}.$$

This graph is as desired.

4.4 The Classes \mathcal{L}_1 and \mathcal{L}_1^+

The classes \mathcal{L}_1 and \mathcal{L}_1^+ can be identified as the class of outerplanar graphs and as the class of graphs of treewidth at most two, respectively. This yields Theorem 1.5.

THEOREM 4.20. The class of unlabelled graphs underlying an element of \mathcal{L}_1^+ coincides with the class of graphs of treewidth at most two.

PROOF. Given Lemmas 4.7 and 4.18, it suffices to show that if a graph *F* is such that tw F = 2 then there is a graph $F \in \mathcal{L}_1^+$ whose underlying unlabelled graph is isomorphic to *F*.

By Lemma 2.6, there exists a tree decomposition $\beta \colon V(T) \to 2^{V(F)}$ of F such that $|\beta(v)| = 3$ for all $v \in V(T)$ and $|\beta(s) \cap \beta(t)| = 2$ for all $st \in E(T)$. It is shown by induction on |V(T)| that for every $r \in V(T)$ and $x \neq y \in \beta(r)$ the graph F = (F, x, y) is in \mathcal{L}_1^+ .

If |V(T)| = 1, write $\{x, y, z\}$ for the unique bag. Since *F* has treewidth 2, it is isomorphic to the 3-clique which is the underlying unlabelled graph of $A^{12} \odot (A^{12} \cdot A^{12})$, cf. Observation 4.2, which is contained in \mathcal{L}_1^+ by construction.

Assuming $|V(T)| \ge 2$, let $r \in V(T)$ be arbitrary. Write $\beta(r) = \{x_1, x_2, x_3\}$. Partition the neighbours of r in T in three sets X_1, X_2, X_3 such that $s \in X_i$ iff $x_i \in \beta(r) \setminus \beta(s)$ for $i \in [3]$.

For every neighbour *s* of *r*, let T_s be the connected component of $T \setminus \{r\}$ containing *s*. Let F_s be the induced subgraph of *F* on $\bigcup_{t \in V(T_s)} \beta(t)$. The restriction of β to $V(T_s)$ is a tree decomposition of F_s with the properties listed in the inductive hypothesis. Hence, for every *s*, there exists $F_s \in \mathcal{L}_1^+$ as stipulated. By permuting labels, it may be supposed that for every $s \in X_1$ the labels of F_s lie on x_2x_3 , for F_s with $s \in X_2$ on x_1x_3 , and for F_s with $s \in X_3$ on x_1x_2 . For $i \in [3]$, let

$$\boldsymbol{F}_{i} \coloneqq \begin{cases} \bigodot_{s \in X_{i}} \boldsymbol{F}_{s}, & \text{if } X_{i} \neq \emptyset, \\ \boldsymbol{A}^{12}, & \text{if } X_{i} = \emptyset \text{ and the two vertices in } \beta(r) \setminus \{x_{i}\} \text{ are adjacent,} \\ \boldsymbol{J}, & \text{otherwise.} \end{cases}$$

Finally, let $\mathbf{F} := \mathbf{F}_2 \odot (\mathbf{F}_3 \cdot \mathbf{F}_1)$. This graph is as desired if x_1, x_3 are required to be labelled. For other choices of labels, $\mathbf{F}_1, \mathbf{F}_2, \mathbf{F}_3$ can be permuted and if necessary transposed yielding any desired labelling.

A graph *F* is *outerplanar* if it does not have K_4 or $K_{2,3}$ as a minor. Equivalent, it is outerplanar if it has a planar drawing such that all its vertices lie on the same face [38].

THEOREM 4.21. The class of unlabelled graphs underlying an element of \mathcal{L}_1 coincides with the class of outerplanar graphs.

Before we prove Theorem 4.21, we derive the following corollary:

COROLLARY 4.22. If $G \equiv_{\mathcal{L}_1} H$ then G is connected iff H is connected.

PROOF. Let $\mathbf{D} = (D, v, v)$ be the (1, 1)-bilabelled graph with $V(D) = \{u, v\}$ and $E(D) = \{uv\}$ and write \mathbf{A} as before for the (1, 1)-bilabelled graph corresponding to the adjacency matrix. For every graph G, the homomorphism matrix $\mathbf{D}_G - \mathbf{A}_G$ equals its Laplacian matrix. By [39, Lemma 4], if two graphs G and H have cospectral Laplacians then they have the same number of connected components. The former condition holds iff tr $((\mathbf{D}_G - \mathbf{A}_G)^i) = \text{tr}((\mathbf{D}_H - \mathbf{A}_H)^i)$ for all $i \in \mathbb{N}$ by Newton's identities [12]. The bilabelled graphs appearing as summands in the expression tr $((\mathbf{D} - \mathbf{A})^i)$ are cactus graphs and hence outerplanar. By Theorem 4.21, if $G \equiv_{\mathcal{L}_1} H$ then G and H have cospectral Laplacians and hence the same number of connected components.

Towards proving Theorem 4.21, we define a class of (1, 1)-bilabelled graphs whose underlying unlabelled graphs are outerplanar. In general, carefully imposing conditions on where the labels are placed is essential for ensuring that the class of bilabelled graphs is closed under the desired operations and also generated by atomic graphs under them, cf. [31, p. 2271].

DEFINITION 4.23. The *expansion* of a (1, 1)-bilabelled graphs F = (F, u, v) is the graph F' obtained from F by adding a path of length two between u and v, i.e. $V(F') := V(F) \sqcup \{x\}$ and $E(F') := E(F) \sqcup \{ux, xv\}$. Write OP for the class of (1, 1)-bilabelled graphs F whose expansion is outerplanar.

Note that the above definition implies that for all $\mathbf{F} = (F, u, v) \in O\mathcal{P}$ the underlying unlabelled graph F is outerplanar as it is a minor of the expansion of \mathbf{F} . If the two labels of \mathbf{F} coincide then its expansion is obtained by adding a dangling edge and outerplanar iff the underlying unlabelled graph of \mathbf{F} is outerplanar.

Write A and I for the (1, 1)-bilabelled graphs corresponding to the adjacency matrix and the identity matrix respectively. In the notation of Definition 3.5, $A = A^{12}$ and $I = I^{12}$. These graphs are depicted in Figure 4.

LEMMA 4.24. The class OP possesses the following closure properties:



Figure 6. Bilabelled graphs from the proof of Lemma 4.24.

- 1. If $\mathbf{F} \in O\mathcal{P}$ then $\mathbf{F}^* \in O\mathcal{P}$.
- 2. If $F \in OP$ then $A \odot F \in OP$ and $I \odot F \in OP$.
- 3. If $\mathbf{F}_1, \mathbf{F}_2 \in O\mathcal{P}$ then $\mathbf{F}_1 \cdot \mathbf{F}_2 \in O\mathcal{P}$.

PROOF. The first claim is purely syntactical. The underlying unlabelled graphs of F and F^* are isomorphic and so are their expansions. Thus, $F^* \in OP$ if $F \in OP$.

For the second claim, first consider the case when the labels of F coincide. Then $I \odot F = F$ and $A \odot F$ differs from F only in the loop at the labelled vertex. Hence, $A \odot F$ is in OP. Now consider the case when the labelled vertices of F are distinct. Write F for the unlabelled graph underlying F and F' for the expansion of F. It can be easily seen that the graphs underlying $A \odot F$ and $I \odot F$ are minors of F' and thus outerplanar. The expansion of $I \odot F$ is a minor of the expansion of $A \odot F$. Thus, it suffices to argue that the expansion of $A \odot F$ is outerplanar.

Write *K* for the unlabelled graph underlying $A \odot F$ and *K'* for the expansion of $A \odot F$. Since *K* and *F'* are outerplanar, any K_4 -minor of *K'* can be obtained from *K'* without contracting the triangle induced by the labelled vertices of $A \odot F$ and the vertex added by expansion. This cannot be since the latter vertex is of degree two. By the same argument, since $K_{2,3}$ is triangle-free, the graph *K'* does not contain any $K_{2,3}$ -minor either.

For the third claim, let F denote the graph underlying $F_1 \cdot F_2$. Let y denote the vertex at which F_1 and F_2 are glued together and write x, z for the vertices labelled in $F_1 \cdot F_2$. The graph F - y is disconnected. Hence, if K_4 or $K_{2,3}$ is a minor of F then F_1 or F_2 are not outerplanar. Hence, F is outerplanar.

Write F' for the expansion of $F := F_1 \cdot F_2$. In symbols, $F' = \operatorname{soe}(P \odot F)$ where P is the bilabelled graph in Figure 6a. For $K \in \{K_4, K_{2,3}\}$, observe the following: If F' contains K as a minor then, by Lemma 4.13, there exists a bilabelled minor $K \le P \odot F$ such that $\operatorname{soe}(K)$ is the disjoint union of K and potential isolated vertices which are labelled in K. By Lemma 4.14, K can be written as $K = K_1 \odot K_2$ such that $K_1 \le P$ and $K_2 \le F$. The graph P has six bilabelled minors. Distinguish cases:

1. If $K_1 = P$ then $K = K_{2,3}$. The labels of K_2 must lie on distinct vertices because K does not contain any vertices of degree one. Furthermore, the labelled vertices in K must be connected via a path of length two with an intermediate vertex of degree two. Hence, $K_2 = C$ where C is the graph in Figure 6b.

- 2. If $K_1 = A$ then the labels of K_2 must lie on distinct vertices because K does not contain any loops. Furthermore, the labelled vertices in K must be adjacent. Hence, K_2 is a graph obtained from K_4 or $K_{2,3}$ by labelling two adjacent vertices and potentially removing the edge between them. In any case, $C \leq K_2$.
- 3. If $K_1 = I$ then K_2 is obtained from K_4 or $K_{2,3}$ by either picking one vertex and placing both labels on it or by adding a fresh vertex, placing a label on it, and connecting it to a subset of the neighbours of a chosen original vertex, which receives the other label.
- 4. If $K_1 = J$ then $K_2 = K$. In particular, K_2 is obtained from K_4 or $K_{2,3}$ by the procedure described in Item 3.
- 5. K_1 cannot be any of the two remaining bilabelled minors of P since these contain an unlabelled vertex of degree at most one which is not the case for K.

For Items 1 and 2 when $C \leq F = F_1 \cdot F_2$, then, by Lemma 4.15, $C \leq F_1$ or $C \leq F_2$ because the graph C cannot be written as the series composition of two graphs different from I. The bilabelled minor C of F_1 or F_2 gives rise to a $K_{2,3}$ -minor in their expansion, contradicting that $F_1, F_2 \in O\mathcal{P}$.

For Items 3 and 4, let K_2 be the graph described there. This graph can only be written as the series composition of two graphs different from I if the two labels do not coincide. In this case, one of the labelled vertices is adjacent to a subset of neighbours of the other labelled vertex. The graph K_2 may be written as series composition of A or J with another graph K'_2 . The graph soe (K'_2) contains K_4 or $K_{2,3}$ as a minor. By Lemma 4.12, one of the factors F_1 or F_2 is not outerplanar, a contradiction.

We proceed to prove the following auxiliary lemma. For a vertex u of a graph F, write $N_F(u) := \{v \in V(F) \mid uv \in E(F)\}$ for the set of neighbours of u.

LEMMA 4.25. Let *F* be an outerplanar graph with vertex $u \in V(F)$. If *u* is not isolated then there exists a neighbour $v \in N_F(u)$ such that the graph obtained from *F* by subdividing the edge *uv* is outerplanar.

PROOF. Take an outerplanar embedding of *F* which has some face incident to all the vertices, consider some edge incident to *u* that is incident to this face, and subdivide that edge. Since the vertex created by subdivision is incident to the outer face, the embedding remains outerplanar when the edge is subdivided. Alternatively, one may consider the following argument:

If u is of degree one or two, then any of its neighbours is as desired. If u has degree at least three, observe that $F[N_F(u)]$ cannot contain K_3 as a minor. Indeed, any such minor would give rise to a K_4 -minor in F. Hence, $F[N_F(u)]$ is a forest and contains a vertex v of degree at most one in $F[N_F(u)]$. Write F' for the graph obtained from F by subdividing the edge uv. Write w for the vertex added this way.

If F' is not outerplanar then it contains a minor K_4 or $K_{2,3}$ which can be obtained from F' without undoing the subdivision. This minor cannot be K_4 because w is of degree two. Hence,

F' contains a $K_{2,3}$ -minor which can be obtained from F such that the path uwv is not contracted. This implies that v is adjacent to at least two neighbours of u which cannot be since it was chosen to be of degree one in $F[N_F(u)]$, a contraction. The graph F' is outerplanar.

Lemma 4.25 facilitates decomposing bilabelled outerplanar graphs into simpler ones.

LEMMA 4.26. Let $F = (F, u, v) \in OP$ have $n \ge 3$ vertices.

- 1. If u = v then $F = I \odot (K \cdot J)$ or $F = I \odot ((A \odot K) \cdot J)$ where $K = (K, x, y) \in OP$ has at most *n* vertices and $x \neq y$.
- 2. If $uv \in E(F)$ then $F = A \odot K$ where $K = (K, x, y) \in OP$ has at most *n* vertices and satisfies $x \neq y$ and $xy \notin E(K)$,
- 3. If $u \neq v$ and $uv \notin E(F)$ then $F = K \cdot L$ where $K, L \in OP$ have at least 2 and at most n 1 vertices.

PROOF. For Item 1, distinguishing two cases.

- If u = v is isolated in F then let $x \in V(F) \setminus \{u\}$ be arbitrary. Define K := F. Then K := (K, u, x) is such that $F = I \odot (K \cdot J)$. By definition, K is outerplanar. Since u is isolated, the expansion of K differs from K only in the loop at u. Hence, K is outerplanar as well.
- If u = v is not isolated, pick a neighbour x in virtue of Lemma 4.25, and let K be the graph obtained from F by deleting the edge ux, i.e. V(K) := V(F) and $E(K) := E(F) \setminus \{ux\}$. Let K := (K, u, x). As a subgraph of F, K is outerplanar. The expansion of K is the graph obtained from F by subdividing the edge ux and outerplanar by Lemma 4.25. Hence, $K \in O\mathcal{P}$. Furthermore, $F = I \odot ((A \odot K) \cdot J)$.

For Item 2, define *K* by removing the edge uv from *F*, i.e. V(K) := V(F) and $E(K) := E(F) \setminus \{uv\}$. The graph K := (K, u, v) satisfies $F = A \odot K$ and all other stipulated properties.

For Item 3, first suppose that u and v lie in the same connected component of F. Observe that there no two internally vertex-disjoint paths from u to v since a pair of two such paths would give rise to a $K_{2,3}$ -minor in the expansion of F. By Menger's Theorem, there exists a vertex $x \neq u, v$ meeting all paths from u to v. Thus, removing x from F causes u and v to lie in separate connected components. Let A denote the connected component of F - x containing u, B the connected component of F - x containing v, and C the union of all connected components of F - x containing neither u nor v. By definition, $V(F) = A \sqcup B \sqcup C \sqcup \{x\}$. Define $K := F[A \cup \{x\}]$ as the subgraph of F induced by $A \cup \{x\}$ and similarly $L := F[B \cup C \cup \{x\}]$. Let K := (K, u, x) and L := (L, x, v). Then $F = K \cdot L$, as desired. As they are induced subgraphs of F, the graphs K and L are outerplanar. The expansions of K and L are minors of the expansion of F and thus outerplanar. Observe that |V(K)| + |V(L)| = n + 1 and $|V(K)|, |V(L)| \ge 2$, as desired.

Now suppose that u and v lie in separate connected components of F. Let A denote the connected component of F containing u, B the connected component of F containing v,

and *C* the union of all connected components of *F* containing neither *u* nor *v*. Observe that $|A| + |B| + |C| = n \ge 3$. Distinguish cases:

- --- If $|A| + |C| \ge 2$, let $K \coloneqq F[A \cup C]$ and $L' \coloneqq F[B]$. Define $K \coloneqq (K, u, u), L' \coloneqq (L', v, v)$, and $L \coloneqq J \cdot L'$.
- Otherwise, it holds that $|B| \ge 2$. Let $K' \coloneqq F[A \cup B]$ and $L \coloneqq F[B]$. Define $K' \coloneqq (K', u, u)$, $L \coloneqq (L, v, v)$, and $K \coloneqq K' \cdot J$.

In both cases, $F = K \cdot L$ and $K, L \in OP$. Furthermore, writing K and L for the graphs underlying K and L respectively, it holds that |V(K)| + |V(L)| = n + 1 and $|V(K)|, |V(L)| \ge 2$ since multiplication with J amounts to adding a fresh isolated vertex.

The following Theorem 4.27 implies Theorem 4.21.

THEOREM 4.27. The classes \mathcal{L}_1 and OP coincide.

PROOF. For the inclusion $\mathcal{L}_1 \subseteq O\mathcal{P}$, observe that the atomic graphs in \mathcal{A}_1 are $A, J, I \in O\mathcal{P}$, cf. Figure 4. By Lemma 4.24, $O\mathcal{P}$ is closed under series composition, parallel composition with atomic graphs, and permutation of labels. It follows inductively that $\mathcal{L}_1 \subseteq O\mathcal{P}$.

For the inclusion $\mathcal{L}_1 \supseteq O\mathcal{P}$, it is argued that $F \in \mathcal{L}_1$ if $F \in O\mathcal{P}$ by induction on the number of vertices in F. If F has at most two vertices, this is clear. Suppose F = (F, u, v) has $n \ge 3$ vertices. By Items 1 and 2 of Lemma 4.26 and the closure properties of \mathcal{L}_1 from Definition 4.1, it may be supposed that $u \ne v$ and $uv \notin E(F)$. In this case, again by Lemma 4.26, $F = K \cdot L$ for graphs K and L, to which the inductive hypothesis applies. It follows that $F \in \mathcal{L}_1$.

5. Deciding Exact Feasibility of the Lasserre Relaxation with Non-Negativity Constraints in Polynomial Time

This section is dedicated to proving Theorem 1.4. To that end, it is argued that $\simeq_t^{L^+}$ has equivalent characterisations in terms of a counting logic and a colouring algorithm akin to the Weisfeiler–Leman algorithm [40]. This algorithm has polynomial running time. It is defined as follows:

DEFINITION 5.1. Let $t \ge 1$. For a graph *G*, an integer $i \ge 1$, and $r, s \in V(G)^t$, define

$$\begin{split} \mathsf{mwl}_G^0(\mathbf{rs}) &\coloneqq \mathsf{atp}_G(\mathbf{rs}), \\ \mathsf{mwl}_G^{i-1/2}(\mathbf{rs}) &\coloneqq \left(\mathsf{mwl}_G^{i-1}(\sigma(\mathbf{rs})) \mid \sigma \in \mathfrak{S}_{2t}\right), \\ \mathsf{mwl}_G^i(\mathbf{rs}) &\coloneqq \left(\mathsf{mwl}_G^{i-1/2}(\mathbf{rs}), \left\{\!\!\left\{\left(\mathsf{mwl}_G^{i-1/2}(\mathbf{rt}), \mathsf{mwl}_G^{i-1/2}(\mathbf{ts})\right) \mid \mathbf{t} \in V(G)^t\right\}\!\!\right\}\!\right). \end{split}$$

The mwl_G^i for $i \in \mathbb{N}$ define increasingly fine colourings of $V(G)^{2t}$. Let mwl_G^{∞} denote the finest such colouring. Two graphs G and H are not *distinguished by the t-dimensional* mwl *algorithm* if the multisets

$$\{\!\!\{\mathsf{mwl}_G^\infty(\mathbf{rs}) \mid \mathbf{r}, \mathbf{s} \in V(G)^t\}\!\!\} \text{ and } \{\!\!\{\mathsf{mwl}_H^\infty(\mathbf{uv}) \mid \mathbf{u}, \mathbf{v} \in V(H)^t\}\!\!\}$$

are the same.

Since the finest colouring mwl_G^{∞} is reached in $\leq n^{2t} - 1$ iterations for graphs on *n* vertices, for fixed *t*, it can be tested in polynomial time whether two graphs are not distinguished by the *t*-dimensional mwl algorithm. We are about to show that the latter happens if and only if the level-*t* Lasserre relaxation with non-negative constraints is feasible. As a by-product, we obtain a logical characterisation for this equivalence relation akin to [7].

DEFINITION 5.2. For $t \ge 1$, an M^{*t*}-formula has 2*t* free variables and is of the following form:

- every quantifier-free FO-formula with equality over the signature $\{E\}$ with 2t variables is an M^t-formula,
- if φ , ψ are M^t-formulae with the same free variables then $\neg \varphi$, $\varphi \land \psi$, and $\varphi \lor \psi$ are M^t-formulae,
- if φ , ψ are M^t -formulae and $n \in \mathbb{N}$ then $\exists^{\geq n} y$. ($\varphi(x, y) \land \psi(y, z)$) is an M^t -formula. Here, the boldface letters x, y, z denote pairwise disjoint t-tuples of distinct variables.

An M^t -sentence is an expression $\exists^{\geq n} x. \varphi(x)$ where φ is an M^t -formula, x is a tuple of 2t distinct variables, and $n \in \mathbb{N}$.

The semantics of the quantifier $\exists^{\geq n} y. \varphi(y)$ is that there exist at least *n* many |y|-tuples of vertices from the graph over which the formula is evaluated which satisfy φ . The following Theorem 5.3 may be thought of as a analogue of Theorem 2.8 for \mathcal{L}_t^+ .

THEOREM 5.3. Let $t \ge 1$. For graphs G and H, the following are equivalent:

- 1. G and H are not distinguished by the t-dimensional mwl algorithm,
- 2. *G* and *H* are homomorphism indistinguishable over \mathcal{L}_{t}^{+} ,
- *3. G* and *H* satisfy the same M^t -sentences.

The proof of Theorem 5.3 is conceptually similar to arguments of [7, 14]. It is implied by the following Theorem 5.4:

THEOREM 5.4. Let $t \ge 1$. For graphs G and H with $r, s \in V(G)^t$ and $u, v \in V(H)^t$, the following are equivalent:

- 1. $\operatorname{mwl}_{G}^{\infty}(\boldsymbol{rs}) = \operatorname{mwl}_{H}^{\infty}(\boldsymbol{uv}),$
- 2. $F_G(rs) = F_H(uv)$ for all $F \in \mathcal{L}_t^+$, and
- *3.* $G \models \varphi(\mathbf{rs})$ *if and only if* $H \models \varphi(\mathbf{uv})$ *for all* M^t *-formulae* φ *.*

The proof of Theorem 5.4 is based on the following lemma, which is adopted from [14, Lemma 6]. An \mathcal{L}_t^+ -quantum graph is a finite linear combination $q = \sum \alpha_i \mathbf{F}^i$ of graphs $\mathbf{F}^i \in \mathcal{L}_t^+$ with real coefficients $\alpha_i \in \mathbb{R}$. Operations like series or parallel composition can be extended linearly to quantum graphs. Write q_G for the linear combination $\sum \alpha_i \mathbf{F}_G^i$ of homomorphism tensors.

LEMMA 5.5. Let $t \ge 1$ and $n \in \mathbb{N}$. For every M^t -formula φ , there exists an \mathcal{L}_t^+ -quantum graph q such that for all graphs G on at most n vertices and $\mathbf{r}, \mathbf{s} \in V(G)^t$,

— *if* $G \models \varphi(\mathbf{rs})$ *then* $q_G(\mathbf{rs}) = 1$ *, and*

— *if* $G \not\models \varphi(\mathbf{rs})$ *then* $q_G(\mathbf{rs}) = 0$.

PROOF. If φ is a quantifier-free formula then there exists an atomic graph $F \in \mathcal{A}_t$ such that $G \models \varphi(rs)$ if and only if $F_G(rs) = 1$. Furthermore, the homomorphism tensor of any atomic graph has entries from $\{0, 1\}$.

If φ is of the form $\neg \psi$, let q denote \mathcal{L}_t^+ -quantum graph constructed inductively for ψ . Then r := J - q for J as defined in Definition 3.5 is an \mathcal{L}_t^+ -quantum graph as desired.

If φ is of the form $\psi \wedge \chi$ where ψ and χ have the same free variables as φ , let q and r denote \mathcal{L}_t^+ -quantum graphs constructed inductively for ψ and χ , respectively. Then $s := q \odot r$ is an \mathcal{L}_t^+ -quantum graph as desired.

If φ is of the form $\psi \lor \chi$ where ψ and χ have the same free variables as φ , it is equivalent to $\neg(\neg \psi \land \neg \chi)$ and the two previous cases can be applied jointly.

It remains to consider the case in which φ is of the form $\exists^{\geq \ell} y. \psi(x, y) \land \chi(y, z)$. Let qand r denote the \mathcal{L}_t^+ -quantum graphs constructed inductively for ψ and χ , respectively. Then $(q \cdot r)_G(r, s) = \sum_{t \in V(G)^t} q_G(r, t) r_G(t, s)$ is equal to the number of elements $t \in V(G)^t$ such that $G \models \psi(r, t) \land \chi(t, s)$. Let $P = \sum c_i x^i \in \mathbb{R}[x]$ be a polynomial which evaluates to 0 on $\{0, 1, \ldots, \ell - 1\}$ and to 1 on $\{\ell, \ell + 1, \ldots, n^t\}$. Then the quantum graph $P(q \cdot r) = \sum c_i (q \cdot r)^{\odot i}$ where $(q \cdot r)^{\odot i}$ denotes the parallel composition of i copies of $q \cdot r$ is as desired.

PROOF OF THEOREM 5.4. Supposing Item 1, Item 2 is proven by induction on the structure of F. If F is atomic then the statement follows from $\operatorname{atp}_G(r, s) = \operatorname{atp}_H(u, v)$. For $F = K \odot L$ and $F = K^{\sigma}$ with $K, L \in \mathcal{L}_t^+$, the statement is easily verified. It remains to consider the case $F = K \cdot L$. By definition of mwl, there exists a bijection $\pi: V(G)^t \to V(H)^t$ such that

$$\mathsf{mwl}^{\infty}_{G}(\mathbf{r}, \mathbf{t}) = \mathsf{mwl}^{\infty}_{H}(\mathbf{u}, \pi(\mathbf{t}))$$
 and $\mathsf{mwl}^{\infty}_{G}(\mathbf{t}, \mathbf{s}) = \mathsf{mwl}^{\infty}_{H}(\pi(\mathbf{t}), \mathbf{v})$

for all $t \in V(G)^t$. Hence,

$$\boldsymbol{F}_{G}(\boldsymbol{r},\boldsymbol{s}) = \sum_{\boldsymbol{t}\in V(G)^{t}} \boldsymbol{K}_{G}(\boldsymbol{r},\boldsymbol{t}) \boldsymbol{L}_{G}(\boldsymbol{t},\boldsymbol{s}) = \sum_{\boldsymbol{t}\in V(G)^{t}} \boldsymbol{K}_{H}(\boldsymbol{u},\boldsymbol{\pi}(\boldsymbol{t})) \boldsymbol{L}_{H}(\boldsymbol{\pi}(\boldsymbol{t}),\boldsymbol{\nu}) = \boldsymbol{F}_{H}(\boldsymbol{u},\boldsymbol{\nu}).$$

Thus, Item 2 holds.

Now suppose that Item 2 holds. If φ is a M^t -formula such that $G \models \varphi(\mathbf{r}, \mathbf{s})$ and $H \not\models \varphi(\mathbf{u}, \mathbf{v})$ then, by Lemma 5.5, there exists a graph $\mathbf{F} \in \mathcal{L}_t^+$ such that $\mathbf{F}_G(\mathbf{r}, \mathbf{s}) \neq \mathbf{F}_H(\mathbf{u}, \mathbf{v})$. This yields Item 3.

That Item 3 implies Item 1 is proven similarly as [7, Theorem 5.2] by induction on the number of iterations. Since atp_G and atp_H can be defined using quantifier-free M^t-formulae, $mwl_G^0(\mathbf{r}, \mathbf{s}) = mwl_H^0(\mathbf{u}, \mathbf{v})$.

Since M^t is closed under permuting the names of the variables, it holds for all $\sigma \in \mathfrak{S}_{2t}$ that $G \models \varphi(\mathbf{rs}) \iff H \models \varphi(\mathbf{uv})$ for all $\varphi \in M^t$ with 2*t* free variables if and only if $G \models \varphi(\sigma(\mathbf{rs})) \iff H \models \varphi(\sigma(\mathbf{uv}))$ for all $\varphi \in M^t$ with 2*t* free variables. Hence, if $\mathsf{mwl}_G^i(\mathbf{rs}) = \mathsf{mwl}_H^i(\mathbf{uv})$ for some $i \in \mathbb{N}$ then also $\mathsf{mwl}_G^{i+1/2}(\mathbf{rs}) = \mathsf{mwl}_H^{i+1/2}(\mathbf{uv})$.

For the step from i + 1/2 to i + 1, suppose contrapositively that $\mathsf{mwl}_G^{i+1}(\mathbf{rs}) \neq \mathsf{mwl}_H^{i+1}(\mathbf{uv})$. By the previous argument, it can be supposed that $\mathsf{mwl}_G^{i+1/2}(\mathbf{rs}) = \mathsf{mwl}_H^{i+1/2}(\mathbf{uv})$. Hence, there exists a pair of colours $\left(\mathsf{mwl}_G^{i+1/2}(\mathbf{rt}), \mathsf{mwl}_G^{i+1/2}(\mathbf{ts})\right)$ which appears in the multisets for G and H differently often, wlog more often in G than in H. By the inductive hypothesis, for each pair of distinct $\mathsf{mwl}^{i+1/2}$ -colours there exists an M^t -formula φ which is satisfied by all vertex tuples of the first colour and by none of the second colour. By taking the conjunction of several such formulae, a formula can be constructed which holds for a 2t-tuple of vertices of G or H if and only if they have a specified colour in $\mathsf{mwl}_G^{i+1/2}$. Let φ and ψ be formulae which hold exactly for the 2t-tuples of vertices of G or H of colours $\mathsf{mwl}_G^{i+1/2}(\mathbf{rt})$ and $\mathsf{mwl}_G^{i+1/2}(\mathbf{ts})$, respectively. By assumption, there is an $N \in \mathbb{N}$ such that the formula $\chi := \exists^{\geq N} y. \ \varphi(x, y) \land \psi(y, z) \in M^t$ is such that $G \models \chi(\mathbf{rs})$ and $H \not\models \chi(\mathbf{uv})$. This yields Item 1.

Finally, we derive Theorem 5.3 from Theorem 5.4.

PROOF OF THEOREM 5.3. Supposing Item 1, let $\pi: V(G)^{2t} \to V(H)^{2t}$ be a bijection such that $\mathsf{mwl}_{G}^{\infty}(\mathbf{rs}) = \mathsf{mwl}_{H}^{\infty}(\pi(\mathbf{rs}))$ for all $\mathbf{r}, \mathbf{s} \in V(G)^{t}$. By Theorem 5.4, for $\mathbf{F} = (F, \mathbf{u}, \mathbf{v}) \in \mathcal{L}_{t}^{+}$,

$$\hom(F,G) = \operatorname{soe}(F_G) = \sum_{\boldsymbol{r},\boldsymbol{s}\in V(G)^t} F_G(\boldsymbol{r},\boldsymbol{s}) = \sum_{\boldsymbol{r},\boldsymbol{s}\in V(G)^t} F_H(\pi(\boldsymbol{rs})) = \operatorname{soe}(F_H) = \hom(F,H),$$

so Item 2 holds.

Assuming Item 2 holds, let $\Phi = \exists^{\geq \ell} x$. $\varphi(x)$ be an M^t-sentence where φ is an M^t-formula, $\ell \in \mathbb{N}$ and x is a tuple of 2t distinct variables. Let q denote the \mathcal{L}_t^+ -quantum graph constructed for φ and $n := \max\{|V(G)|, |V(H)|\}$ via Lemma 5.5. Then Item 2 implies that

$$\left|\{\boldsymbol{rs} \in V(G)^{2t} \mid G \models \varphi(\boldsymbol{rs})\}\right| = \sum_{\boldsymbol{rs} \in V(G)^{2t}} q_G(\boldsymbol{rs}) = \operatorname{soe}(q_G) = \left|\{\boldsymbol{uv} \in V(H)^{2t} \mid H \models \varphi(\boldsymbol{uv})\}\right|.$$

Hence, $G \models \Phi$ if and only if $H \models \Phi$. This yields Item 3.

Assuming Item 3 holds, suppose that *G* and *H* are distinguished by the mwl algorithm and let $C \subseteq V(G)^{2t}$ denote an mwl-colour class in *G* whose counterpart $D \subseteq V(H)^{2t}$ has different size. By Theorem 5.4, there exists an M^t-formula φ which is satisfied by tuples in *C* and *D* and by no other tuples. The M^t-sentence $\exists^{\geq \ell} x. \varphi(x)$ is not satisfied by both *G* and *H* for a suitable $\ell \in \mathbb{N}$. This yields Item 1.

It would be desirable to extend Theorem 1.4 to \simeq_t^{L} . The key property of the graph class \mathcal{L}_t^+ which was exploited in the proof of Theorem 5.3 is that \mathcal{L}_t^+ is closed under arbitrary parallel compositions. Therefore, an interpolation argument in the proof of Lemma 5.5 succeeds to reduce testing homomorphism indistinguishability over \mathcal{L}_t^+ to the execution of the mwl-colouring

algorithm. However, for \mathcal{L}_t , arbitrary parallel compositions are not available. Thus, designing a suitable colouring algorithm for this graph classes does not seem feasible.

6. Conclusion

We have established a characterisation of the feasibility of the level-*t* Lasserre relaxation with and without non-negativity constraints of the integer program ISO(G, H) for graph isomorphism in terms of homomorphism indistinguishability over the graph classes \mathcal{L}_t and \mathcal{L}_t^+ . By analysing the treewidth of the graphs \mathcal{L}_t and \mathcal{L}_t^+ and invoking results from the theory of homomorphism indistinguishability, we have determined the precise number of Sherali–Adams levels necessary such that their feasibility guarantees the feasibility of the level-*t* Lasserre relaxation. This concludes a line of research brought forward in [2]. For feasibility of the level-*t* Lasserre relaxation with non-negativity constraints, we have given, besides linear algebraic reformulations generalising the adjacency algebra of a graph, a polynomial time algorithm deciding this property.

Missing in Theorem 1.1 is a tight lower bound on the number of Lasserre levels necessary to ensure feasibility of a given Sherali–Adams level:

QUESTION 6.1. Do there exist for every $t \ge 3$ graphs G and H such that $G \simeq_{t-1}^{L} H$ and $G \not\cong_{t}^{SA} H$?

Following the path taken in this paper, this question could potentially be resolved in two steps: Firstly, one would need to prove the graph theoretic assertion that the class \mathcal{L}_t does not contain \mathcal{TW}_t for all $t \ge 2$. Secondly, one would need to show that \mathcal{L}_t is homomorphism distinguishing closed or at least that the homomorphism distinguishing closure [32] of \mathcal{L}_t does not contain \mathcal{TW}_t for all $t \ge 2$. Given the means currently available for proving such a statement [32, 29], this would involve giving game characterisations for \mathcal{L}_t (mimicking the robber-cops game for \mathcal{TW}_t) and for $\equiv_{\mathcal{L}_t}$ (similar to the bijective (t + 1)-pebble game for \mathcal{TW}_t). For the former, finding analogies to the notions of brambles or heavens seems necessary [36].

Another interesting extension of our work might be an efficient algorithm for computing an explicit partial *t*-equivalence between two graphs, cf. Definitions 3.13 and 3.15, or deciding that no such map exists. This would yield an efficient algorithm for deciding the exact feasibility of the Lasserre semidefinite program without non-negativity constraints, cf. [2].

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A. Versions of the Lasserre Hierarchy

The level-*t* Lasserre relaxation for graph isomorphism studied in [2] has a slightly different form. For every $\alpha \in \mathbb{N}^{V(G) \times V(H)}$, it comprises a real-valued variable z_{α} . For an integer $t \in \mathbb{N}$, write $M_t(z)$ for the matrix whose rows and columns are indexed by $\alpha \in \mathbb{N}^{V(G) \times V(H)}$ such that $|\alpha| \coloneqq \sum_{gh \in V(G) \times V(H)} \alpha_{gh} \le t$ with (α, β) -th entry $z_{\alpha+\beta}$. Abusing notation by writing *gh* for the *gh*-th standard basis vector in $\mathbb{N}^{V(G) \times V(H)}$, the equations can be written as

$$M_t(z) \ge 0 \tag{21}$$

$$\sum_{g \in V(G)} z_{\alpha+gh} = z_{\alpha} \qquad \text{for all } \alpha \text{ such that } |\alpha| \le 2t - 2, \tag{22}$$

$$\sum_{h \in V(H)} z_{\alpha+gh} = z_{\alpha} \qquad \text{for all } \alpha \text{ such that } |\alpha| \le 2t - 2, \tag{23}$$

$$z_{\alpha} = 0$$
 for all α such that α is not a partial isomorphism, (24)
= z for all α such that $|\alpha| < 2t - 2$ (25)

$$z_{\alpha+2gh} = z_{\alpha+gh}$$
 for all α such that $|\alpha| \le 2t - 2$, (25)

$$(z_{\alpha+\beta+gh})_{|\alpha|,|\beta|\leq 2t-2}\geq 0 \qquad \text{for all } gh\in V(G)\times V(H), \tag{26}$$

$$(z_{\alpha+\beta} - z_{\alpha+\beta+gh})_{|\alpha|,|\beta| \le 2t-2} \ge 0 \qquad \text{for all } gh \in V(G) \times V(H), \tag{27}$$

$$z_{\emptyset} = 1. \tag{28}$$

The vector α is a *partial isomorphism* if and only if $\operatorname{atp}_G(gg') = \operatorname{atp}_H(hh')$ for all gh and g'h' such that $\alpha_{gh}, \alpha_{g'h'} > 0$.

LEMMA A.1. The system Equations (21) to (28) has a solution iff the system Equations (4) to (8) has a solution.

PROOF. Let $(y_I)_{I \in \binom{V(G) \times V(H)}{\leq 2t}}$ be a solution to Equations (4) to (8). For $\alpha \in \mathbb{N}^{V(G) \times V(H)}$ with $|\alpha| \leq 2t$, define z_{α} to be $y_{[\alpha]}$ where $[\alpha] \in \binom{V(G) \times V(H)}{\leq 2t}$ is the set $\{gh \mid \alpha_{gh} \geq 1\}$. Observe that $[\alpha + \beta] = [\alpha] \cup [\beta]$ for all $\alpha, \beta \in \mathbb{N}^{V(G) \times V(H)}$.

By Equation (4), let v_I for $I \in \binom{V(G) \times V(H)}{\leq t}$ be real vectors such that $y_{I \cup J} = \langle v_I, v_J \rangle$ for all $I, J \in \binom{V(G) \times V(H)}{\leq 2t}$. Then $z_{\alpha+\beta} = y_{[\alpha+\beta]} = y_{[\alpha] \cup [\beta]} = \langle v_{[\alpha]}, v_{[\beta]} \rangle$. Hence, the vectors $v_{[\alpha]}$ for $\alpha \in \mathbb{N}^{V(G) \times V(H)}$ with $|\alpha| \leq 2t$ witness Equation (21). Furthermore,

$$z_{\alpha+\beta+gh} = y_{[\alpha+\beta+gh]} = y_{[\alpha]\cup\{gh\}\cup[\beta]\cup\{gh\}} = \langle v_{[\alpha+gh]}, v_{[\beta+gh]} \rangle.$$

Hence, the matrix in Equation (26) is positive semidefinite. For Equation (27), similarly,

 $z_{\alpha+\beta} - z_{\alpha+\beta+gh} = z_{\alpha+\beta} + z_{\alpha+\beta+gh} - z_{\alpha+\beta+gh} - z_{\alpha+\beta+gh} = \langle v_{[\alpha]} - v_{[\alpha+gh]}, v_{[\beta]} - v_{[\beta+gh]} \rangle.$

Equations (22) to (24) and (28) follow from Equations (5) to (8), respectively. Equation (25) holds by definition since $[\alpha + 2gh] = [\alpha + gh]$.

Conversely, let (z_{α}) be a solution to Equations (21) to (28). Define y_I for $I \in \binom{V(G) \times V(H)}{\leq 2t}$ as z_{δ_I} where $\delta_I \in \mathbb{N}^{V(G) \times V(H)}$ is the indicator vector of I, i.e. $(\delta_I)_{gh} = 1$ if $gh \in I$ and $(\delta_I)_{gh} = 0$ otherwise. By Equation (25), $z_{\delta_{I \cup J}} = z_{\delta_I + \delta_J}$ for all $I, J \in \binom{V(G) \times V(H)}{\leq 2t}$. Hence, Equations (5) to (8) follow from Equations (22) to (24) and (28), respectively.

For Equation (4), let v_{α} for $\alpha \in \mathbb{N}^{V(G) \times V(H)}$ with $|\alpha| \leq t$ be vectors such that $z_{\alpha+\beta} = \langle v_{\alpha}, v_{\beta} \rangle$ for all α, β , by Equation (21). Then $y_{I \cup J} = z_{\delta_I+\delta_J} = \langle v_{\delta_I}, v_{\delta_J} \rangle$. for all $I, J \in \binom{V(G) \times V(H)}{\leq 2t}$. Hence, Equation (4) holds.

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